

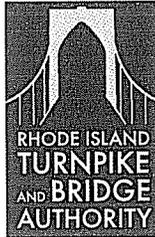
**Rhode Island Turnpike and Bridge Authority**

One East Shore Road | P.O. Box 437 | Jamestown, Rhode Island 02835-0437

## **NOTICE**

(Posted July 3, 2014)

There will be a meeting of the Rhode Island Turnpike and Bridge Authority on Wednesday, July 9, 2014, 8:30 A.M., at the Authority's office in Jamestown.



## Rhode Island Turnpike and Bridge Authority

One East Shore Road | P.O. Box 437 | Jamestown, Rhode Island 02835-0437

### Agenda

July 9, 2014

8:30 A.M.

1. Call to Order: Stephen C. Waluk, Acting Chairman
2. Public Comments:
3. Approval: Minutes of the June 11, 2014 meeting
4. Approval: Pannone Lopes & Devereaux & West Professional Services for the months of  
\$ 9,647.87
5. Approval: Adler Pollock & Sheehan Professional Services for the months of  
\$ 10,395.24
6. Ratifications: Requisition No. 13 \$ 810,836.94  
Requisition No. 14 \$ 3,447,068.11  
Requisition No. 15 \$ 1,466,591.86
7. Discussion/Approval: RITBA Commercial Insurance Program
8. Discussion/Approval: PCI Penetration Testing Services
9. Discussion/Approval: Adoption of Budget – 7/1/2014 – 6/30/2015
10. Discussion: Chairman's Report
  - Monthly Update
11. Discussion: Executive Director's Report
  - Meetings / Upcoming Events
12. Discussion: CFO Report
  - Traffic & Revenue Reports

13. Discussion: Director of Engineering Report
- Current Projects / Upcoming Projects
14. Discussion: Director of Operations, Safety & Security Report
- Toll Plaza Status
15. Discussion: Electronic Toll Collection Manager Report
- E-ZPass Status
16. Discussion: Director of Maintenance Report
- Maintenance Status
17. Discussion: Chief Technology Officer
- Status of IT Dept.
18. Executive Session  
Pursuant to RI General Laws 42-46-5 (a) (2)  
Potential Litigation  
Litigation  
Pursuant to RI General Laws 42-46-5(a) (3)  
Security Matters

A meeting of the Rhode Island Turnpike and Bridge Authority was held on Wednesday June 11, 2014 at the Authority's office in Jamestown

---

The meeting was called to order at 8:32 A.M. by Acting Chairman, Stephen C. Waluk

**Members Present:**

Stephen C. Waluk, Acting Chairman

Deborah M. Brayton

Michael P. Lewis, Director DOT

**Members Absent:**

Darrell Waldron

**Invited Guests:**

Buddy Croft, Executive Director

Nancy E. Parrillo, CFO

James Swanberg, Director of Operations, Safety & Security

Eric Offenbergl, Director of Engineering

Kathi O'Connor, Electronic Toll Collection Manager

James Romano, Chief of Maintenance

Michael Bonsignore, Chief Technology Officer

JoAnn Head, Executive Assistant

Bill O'Gara, Legal Counsel

Item No. 2

**Public Comments:**

Larry Fitzmorris asked the Board when the gantry would be removed on the Sakonnet River Bridge.

Judy Burns asked if the Board could give a safety report at each meeting. Both Ms. Burns and Mr. Fitzmorris voiced appreciation on the Boards new policy on public comments.

Item No. 3

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously to approve the minutes of the May 7, 2014 meeting.

Item No. 4 (omitted)

Item No. 5

Motion by Deborah M. Brayton, seconded by Michael P. Lewis, passed unanimously to approve:

Pannone Lopes & Devereaux & West

Professional Services for the months of March and April 2014

\$ 32,552.81

Item No. 6

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously to approve:

Adler Pollock & Sheehan  
Professional Services for the months of February, March and  
April 2014 \$ 5,100.00

Item No. 7

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously to ratify:

Aetna Bridge Company	\$1,263,500.53
Parsons Brinckerhoff, Inc.	\$ 125,716.23
Ammann & Whitney	\$ 109,062.25
Keville Enterprises	\$ 58,941.44
CDM Smith	\$ 126,286.43
Modjeski & Masters, Inc.	\$ 7,874.50

Item No. 8

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously, in the form of a resolution, to authorize the installation of a flexible median barrier on the Newport/Pell Bridge. Eric Offenberger, PE, RITBA Director of Engineering reported on the stages that the Authority has undertaken with the median barrier. Maureen and Bob Oberg spoke to the Board on behalf of their son who was severely injured when a vehicle crossed the center line, and the need of a median barrier. Nancy Howard questioned the speed on the bridge.

Item No. 9

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously in the form of a resolution, to appoint Authority Directors Darrell Waldron and Deborah M. Brayton to serve as trustees for the RITBA Retirement (401(k) Plan).

Item No. 10

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously in the form of a resolution, to award Contract 14-3: Health Benefits Broker to Arthur J. Gallagher.

Item No. 11

Motion by Deborah M. Brayton, seconded by Michael P. Lewis, passed unanimously in the form of a resolution, to award Contract 14-4: Retirement Plan Advisory Services to Meridien.

Item No. 12

Motion by Deborah M. Brayton, seconded by Michael P. Lewis, passed unanimously in the form of a resolution, to postpone the deliberation and adoption of its annual budget until the Authority's July meeting of its Board of Directors.

Item No. 13

Motion by Deborah M. Brayton, seconded by Michael P. Lewis, passed unanimously in the form of a resolution, to postpone the implementation of the increased toll rate at the SRB until the Authority's July meeting of the Board of Directors.

Item No. 14

Executive Director's Report

- None

Item No. 15

CFO Report

- April 2014 MTD / YTD Financial Report
- Traffic and Revenue Reports

Item No. 16

Director of Engineering

- On-Call Deck Patching / Newport/Pell & Mt. Hope Bridges
- Contract Reports

Item No. 17

Director of Operations, Safety & Security Report

- None

Item No. 18

Electronic Toll Collection Manager Report

- None

Item No. 19

Director of Maintenance Report

- None

Item No. 20

Chief Technology Officer

- None

Item No. 21

The Board suspended the regular board meeting to convene an Executive Session which was called to order at 9:06 A.M. in accordance with Rhode Island's Open Meeting Law, R.I.G.L. 42-26-5(a)(1), (2), (3) and (5) upon motion made by Michael P. Lewis and seconded Deborah M. Brayton . All Board members present voted aye.

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, passed unanimously to come out of executive session at 9:24 A.M.

Motion by Michael P. Lewis, seconded by Deborah M. Brayton, moved to adjourn the meeting at 9:25 and seal the minutes.



Buddy Croft  
Secretary

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-13

Median Barrier Report

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, on April 30, 2014 the Authority received an addendum (“Addendum”) to an earlier report made by CDM Smith (“CDM”) in relation to CDM’s comprehensive Traffic and Safety Study of the Newport/Pell Bridge presented to the Authority’s Board of Directors in April of 2014; and

WHEREAS, the Addendum surveys the Authority’s options with respect to median barrier installation on the Newport/ Pell Bridge and presents a risk assessment matrix weighing the benefits of each option; and

WHEREAS, CDM recommends in the Addendum that a flexible median barrier be utilized on the Newport/Pell Bridge should the Authority move forward with the installation of a median; and

WHEREAS, the Authority desires to install a median on the Newport/Pell Bridge, and after due consideration of the options presented in the Addendum, desires to install a flexible median barrier.

NOW THEREFORE, be it resolved that the Board of Directors of the Authority hereby authorizes the installation of a flexible median barrier on the Newport/Pell Bridge.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board Members at a meeting of the Board on June 11, 2014.



\_\_\_\_\_  
Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-14

AUTHORITY 401(K) TRUSTEES

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, there exists a retirement plan for certain employees of the Authority commonly referred to as the RITBA Retirement (401(k)) Plan (the “Plan”); and

WHEREAS, pursuant to the Plan documents, as revised in 2011, two of the Authority’s Directors are to act as trustees in the administration of said Plan; and

WHEREAS, the Authority must replace two Plan trustee positions formerly occupied by former Directors of the Authority, namely, Richard Eannarino and Arthur Fletcher; and

WHEREAS, after due consideration, the Board desires to appoint current Authority Directors Darrell Waldron and Deborah Brayton to serve as trustees for said Plan.

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors of the Authority hereby appoints Authority Directors Darrell Waldron and Deborah Brayton to serve as trustees for said Plan.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board members at a meeting of the Board of Directors on June 11, 2014.



\_\_\_\_\_  
Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-15

AWARD CONTRACT 14-3 HEALTH BENEFITS BROKER

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, the Authority issued a request for proposal (“RFP”) in relation to Contract 14-3: Health Benefits Broker (“Contract”) in order to allow potential health benefits brokerage firms to bid on the procurement of health benefits for the Authority’s employees; and

WHEREAS, four (4) firms demonstrated an interest in submitting proposals in response to said RFP, but only Arthur J. Gallagher offered a proposal in response to said RFP; and

WHEREAS, pricing for this brokerage service is paid for by Blue Cross and Blue Shield of Rhode Island, Delta Dental and United Health Vision as health benefit vendors; and

WHEREAS, after due consideration of Arthur J. Gallagher’s proposal, the Board of Directors of the Authority desires to award said Contract to Arthur J. Gallagher.

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors of the Authority hereby awards said Contract to Arthur J. Gallagher.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board members at a meeting of the Board of Directors on June 11, 2014.



---

Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-16

AWARD CONTRACT 14-4 RETIREMENT PLAN ADVISORY SERVICES

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, the Authority issued a request for proposal (“RFP”) in relation to Contract 14-4: Retirement Plan Advisory Services (“Contract”) in order to allow potential advisory services firms to bid on the management of the Authority’s retirement plan commonly referred to as RITBA Retirement Plan 401(k) (the “Plan”); and

WHEREAS, four (4) firms demonstrated an interest in submitting proposals, but only two (2) firms submitted proposals in response to said RFP, namely Meridien and Rockland Trust; and

WHEREAS, Meridien is the current Plan advisor, and its proposal submitted in response to the RFP is less expensive than that of Rockland Trust; and

WHEREAS, after due consideration of the proposals, the Board of Directors of the Authority desires to award said Contract to Meridien.

NOW THEREFORE, BE IT RESOLVED, the Board of Directors of the Authority hereby awards said Contract to Meridien.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board members at a meeting of the Board of Directors on June 11, 2014.



\_\_\_\_\_  
Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-17

DELAY OF BUDGET ADOPTION

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, the Authority customarily adopts its annual budget at the June meeting of the Authority’s Board of Directors; and

WHEREAS, current legislation has been introduced before the General Assembly of the State of Rhode Island will impact the operating budget of the Authority (the “Legislation”); and

WHEREAS, the Board of Directors of the Authority desires to postpone the adoption of its annual budget until the Authority’s July meeting of its Board of Directors.

NOW THEREFORE, BE IT RESOLVED, the Board of Directors of the Authority hereby postpones the deliberation and adoption of its annual budget until the Authority’s July meeting of its Board of Directors.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board members at a meeting of the Board of Directors on June 11, 2014.



---

Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-18

SAKONNET RIVER BRIDGE TOLL INCREASE EXTENSION

WHEREAS, the Rhode Island Turnpike and Bridge Authority (“Authority”) is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the “Act”); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, by prior resolution the Board of Directors of the Authority voted to increase toll rates at the Sakonnet River Bridge (“SRB”) at a future date certain; and

WHEREAS, current legislation has been introduced before the General Assembly of the State of Rhode Island which, if passed and enacted, would remove tolling altogether from the SRB effective July 1, 2014 (the “Legislation”); and

WHEREAS, in anticipation of the potential impact of the Legislation, the Board of Directors of the Authority desires to postpone the implementation of the increased toll rate at the SRB until the Authority’s July meeting of its Board of Directors, at which time the legislative session of the General Assembly shall have ended and the Authority will know whether the Legislation shall have been passed.

NOW THEREFORE, BE IT RESOLVED, the Board of Directors of the Authority hereby postpones the implementation of the increased toll rate at the SRB until the Authority’s July meeting of its Board of Directors.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board members at a meeting of the Board of Directors on June 11, 2014.



\_\_\_\_\_  
Earl Croft, III, Secretary

Dated: June 11, 2014

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
RESOLUTION NO. 14-13

Median Barrier Report

WHEREAS, the Rhode Island Turnpike and Bridge Authority ("Authority") is a body corporate and politic created under Chapter 12 of Title 24 of the General Laws of the State of Rhode Island (the "Act"); and

WHEREAS, the Act authorizes the Authority to maintain and operate the Claiborne Pell, Sakonnet River, Jamestown and Mount Hope Bridges, and to make and enter into all contracts and agreements necessary or incidental to the performance of its duties and the execution of its powers; and

WHEREAS, on April 30, 2014 the Authority received an addendum ("Addendum") to an earlier report made by CDM Smith ("CDM") in relation to CDM's comprehensive Traffic and Safety Study of the Newport/Pell Bridge presented to the Authority's Board of Directors in April of 2014; and

WHEREAS, the Addendum surveys the Authority's options with respect to median barrier installation on the Newport/ Pell Bridge and presents a risk assessment matrix weighing the benefits of each option; and

WHEREAS, CDM recommends in the Addendum that a flexible median barrier be utilized on the Newport/Pell Bridge should the Authority move forward with the installation of a median; and

WHEREAS, the Authority desires to install a median on the Newport/Pell Bridge, and after due consideration of the options presented in the Addendum, desires to install a flexible median barrier.

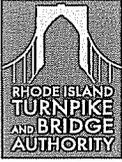
NOW THEREFORE, be it resolved that the Board of Directors of the Authority hereby authorizes the installation of a flexible median barrier on the Newport/Pell Bridge.

This Resolution has been approved by the Rhode Island Turnpike and Bridge Authority Board Members at a meeting of the Board on June 11, 2014.



Earl Croft, III, Secretary

Dated: June 11, 2014



# RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

## BOARD AGENDA ITEM TRACKING SYSTEM

<b>AGENDA ITEM NO.</b> <span style="font-size: 1.5em; font-weight: normal;">4</span>	<b>DATE PREPARED:</b> JULY 2, 2014	<b>SUBJECT:</b> Pannone Lopes Devereaux & West LLC
<b>FOR THE MEETING OF:</b> JULY 9, 2014		<b>PREPARED BY:</b> Buddy Croft

**SUMMARY DESCRIPTION:**

The Authority has engaged Pannone Lopes Devereaux & West LLC, to provide legal services. Submitted for the Board's consideration are the firm's invoices for the months of May and June 2014 in the amount of \$9,647.87.

**FINANCIAL EFFECT:    \$9,647.87 (support for \$3,730.94 in executive session)**

*Instructions:* The individual named at the top of this page as "preparer" indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	EXECUTIVE DIRECTOR	INITIALS	DATE	BOARD ACTION:
√	<b>EXECUTIVE DIRECTOR</b> EARL J. CROFT III	[Signature]	7/3/2014	<p><b>___ TABLED: UNTIL</b> _____</p> <p><b>___ DISCUSSED:</b> <i>Action Taken:</i></p> <p style="text-align: right;">VOTE TAKEN:    ___ YES    ___ NO</p> <p style="text-align: right;">APPROVED:        ___ YES    ___ NO</p> <p><b>___ RATIFIED</b></p>
	<b>FINANCE</b> NANCY E. PARRILLO			
	<b>ENGINEERING</b> ERIC OFFENBERG			
	<b>PLAZA OPERATIONS SAFETY &amp; SECURITY</b> JIM SWANBERG			
	<b>MAINTENANCE</b> JIM ROMANO			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			
	<b>OTHER (SPECIFY)</b>			

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Rhode Island Turnpike & Bridge Authority  
Attn: Earl Croft, III  
1 East Shore Road  
Jamestown, RI 02835

July 2, 2014

Invoice Number 21599  
Federal Tax ID #11-3769678

RE: General

Client Number 356  
Matter Number 790

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
5/Jun/14	WEO	Multiple telephone conferences with client.	.30	200.00	60.00 ^
9/Jun/14	WEO	Telephone conference with B. Croft.	.20	200.00	40.00 ^
10/Jun/14	WEO	Telephone conference with B. Croft; review access to public records request.	.50	200.00	100.00 ^
11/Jun/14	WEO	Attend board meeting.	2.00	200.00	400.00 ^
12/Jun/14	BJL	Review and comment upon/revise Top Violators letter; correspond with B. Croft re: same; confer with client group at RITBA re: same; review and analyze pertinent sections of RIGL applicable to violators and evaders.	1.70	200.00	340.00 ^
13/Jun/14	WEO	Review tolling statutes	1.50	200.00	300.00 ^

317 IRON HORSE WAY, SUITE 301  
PROVIDENCE, RHODE ISLAND 02908

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

WWW.PLDW.COM  
T 401 824 5100 F 401 824 5123

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Invoice # 21599

Page 2

July 2, 2014

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
		regarding ability to discontinue tolling before July 1, 2014; telephone conference with B. Croft.			
16/Jun/14	WEO	Telephone conference with B. Croft; attention to access to public records request.	.30	200.00	60.00 ✓
17/Jun/14	BLR	Review Board packet in furtherance of drafting resolutions; draft Board resolutions.	2.50	200.00	500.00 ✓
17/Jun/14	WEO	Conference with B. Croft regarding toll issues and response to records requests; respond to same.	1.30	200.00	260.00 ✓
19/Jun/14	BJL	Communicate with B. Croft re: strategies going forward.	.10	200.00	20.00 ✓
23/Jun/14	BJL	Prepare for and meet with B. Croft to discuss numerous issues re: tolling and related matters.	.50	200.00	100.00 ✓
23/Jun/14	WEO	Meeting with B. Croft regarding open issues.	.50	200.00	100.00 ✓
30/Jun/14	BJL	Correspondence from J. Swanberg re: proposed toll violation legislation; review and analyze pertinent RI General Laws re: same; strategize and consider proposed changes to unpaid toll legislation; begin to	.60	200.00	120.00 ✓

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Invoice # 21599

Page 3

July 2, 2014

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
		draft and revise suggested changes for same.			

Summary by Attorney

Attorney	Staff Level	Hours	Rate	Amount
William E. O'Gara	Partner	6.60	200.00	1,320.00
Brian J. Lamoureux	Partner	2.90	200.00	580.00
Benjamin L. Rackliffe	Associate	2.50	200.00	500.00
	Totals	12.00	\$	2,400.00

DISBURSEMENTS

25/Feb/14	Photocopies	30.75		
12/Jun/14	Travel	71.53		
	Totals		\$	102.28

Total Fee & Disbursements	\$	2,502.28
Retainers Applied	\$	.00
Balance Now Due	\$	2,502.28

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Rhode Island Turnpike & Bridge Authority  
Attn: Earl Croft, III  
1 East Shore Road  
Jamestown, RI 02835

July 2, 2014

Invoice Number 21600  
Federal Tax ID #11-3769678

RE: Records Retention

Client Number 356  
Matter Number 2365

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
20/May/14	RMM	Prepare for Records Retention meeting.	.20	200.00	40.00
3/Jun/14	BJL	Prepare for and attend meeting at RITBA to discuss overarching document retention issues; review and analyze details with respect to resources available to RITBA and other public agencies; strategize approach and next steps; review Public Records Administration schedules for implementation and General Retention Schedules (1-7) created by PRA/SOS.	3.60	200.00	720.00
3/Jun/14	RMM	Review of records retention information in preparation for meeting with Rhode Island Turnpike and Bridge Authority; travel to/appear at/attend	3.20	200.00	640.00

317 IRON HORSE WAY, SUITE 301  
PROVIDENCE, RHODE ISLAND 02908

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

WWW.PLDW.COM  
T 401 824 5100 F 401 824 5123

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Invoice # 21600

Page 2

July 2, 2014

---

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
		meeting at the Rhode Island Turnpike and Bridge Authority regarding records retention.			
4/Jun/14	BJL	Confer and correspond with Public Records Administration at Secretary of State's office; correspond with RITBA re: same and next steps; review details and steps in PRA/SOS process for creation of specific records retention schedules.	.80	200.00	160.00 ✓
4/Jun/14	RMM	Research on destruction of duplicate copies of documents; confer with B. Lamoureux; findings.	.40	200.00	80.00 ✓
5/Jun/14	BJL	Correspond with Secretary of State's office and client group regarding 6/12 launch meeting.	.10	200.00	20.00 ✓
12/Jun/14	BJL	Prepare for and attend launch meeting with Secretary of State representative at RITBA's office; strategize next steps and action plan.	2.80	200.00	560.00 ✓
26/Jun/14	BJL	Review and analyze and comment upon various draft "records series" prepared by Katie Coleman w/ respect to EZPass accounts; correspond back to K. Coleman re: suggested revisions.	.40	200.00	80.00 ✓

317 IRON HORSE WAY, SUITE 301  
PROVIDENCE, RHODE ISLAND 02908

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

WWW.PLDW.COM  
T 401 824 5100 F 401 824 5123

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Invoice # 21600

Page 3

July 2, 2014

---

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
------	------	-------------	-------	------	--------

Summary by Attorney

Attorney	Staff Level	Hours	Rate	Amount
Brian J. Lamoureux	Partner	7.70	200.00	1,540.00
Rebecca M. McCormick	Associate	3.80	200.00	760.00
	Totals	11.50	\$	2,300.00

DISBURSEMENTS

20/Jun/14 Travel	62.15
Totals	\$ 62.15

Total Fee & Disbursements	\$ 2,362.15
Retainers Applied	\$ .00
Balance Now Due	\$ 2,362.15

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Rhode Island Turnpike & Bridge Authority  
Attn: Earl Croft, III  
1 East Shore Road  
Jamestown, RI 02835

July 2, 2014

Invoice Number 21602  
Federal Tax ID #11-3769678

RE: Public Records Requests

Client Number 356  
Matter Number 2913

DATE	ATTY	DESCRIPTION	HOURS	RATE	AMOUNT
19/Jun/14	WEO	Respond to request for public records; lengthy conference.	.60	200.00	120.00 ✓
23/Jun/14	RAG	Review and organize files regarding APRA request.	1.50	200.00	300.00 ✓
24/Jun/14	WEO	Telephone conference with B. Croft; prepare response to request for information; telephone conference with counsel regarding claim.	.90	200.00	180.00 ✓
25/Jun/14	WEO	Meeting with Chairman and B. Croft; Telephone conference with Buddy re: access to public records requests.	1.50	200.00	300.00 ✓

317 IRON HORSE WAY, SUITE 301  
PROVIDENCE, RHODE ISLAND 02908

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

WWW.PLDW.COM  
T 401 824 5100 F 401 824 5123

PANNONE LOPES DEVEREAUX & WEST LLC  
COUNSELORS AT LAW

Invoice # 21602

Page 2

July 2, 2014

Summary by Attorney

Attorney	Staff Level	Hours	Rate	Amount
William E. O'Gara	Partner	3.00	200.00	600.00
Rachel A. Gribbin	Paralegal	1.50	200.00	300.00
	Totals	4.50	\$ 900.00	

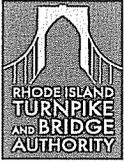
DISBURSEMENTS

25/Feb/14 Photocopies	152.50
	-----
Totals	\$ 152.50

Total Fee & Disbursements \$ 1,052.50

Retainers Applied \$ .00

Balance Now Due \$ 1,052.50



## RHODE ISLAND Turnpike and Bridge Authority

### Board Agenda Item Tracking System

<b>AGENDA ITEM</b> <b>6</b>	<b>DATE PREPARED:</b> July 2, 2014	<b>SUBJECT:</b> RITBA CONTRACT RATIFICATIONS
<b>FOR THE MEETING OF:</b> July 9, 2014		<b>PREPARED BY:</b> Eric Offenberg

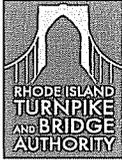
**SUMMARY DESCRIPTION:**

Request ratification of payment to:  
 Aetna Bridge, Keville Enterprises, Parsons Brinckerhoff, CDM Smith and Cardno for May's **Requisition No. 13** in the amount of **\$810,836.94**;  
 Aetna Bridge, Keville Enterprises, Parsons Brinckerhoff, Modjeski and Masters, Inc., ITF Group, Daktronics, Inc., Ammann & Whitney for first half of June's **Requisition No. 14** in the amount of **\$3,447,068.11**;  
 Aetna Bridge, Keville Enterprises, Parsons Brinckerhoff, CDM Smith, Cardno for second half of June's **Requisition No. 15** in the amount of **\$1,466,591.86**.

**Financial Effect :**    **\$5,724,496.91**

*Instructions:* The individual named at the top of this page as "preparer" indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	EXECUTIVE DIRECTOR <i>EARL J. CROFT III</i>	INITIALS	DATE	BOARD ACTION:
	<b>FINANCE</b> <i>NANCY E. PARRILLO</i>			<p><input type="checkbox"/> <b>TABLED:</b> UNTIL _____</p> <p><input type="checkbox"/> <b>DISCUSSED:</b> <i>Action Taken:</i></p> <p style="text-align: right;">VOTE TAKEN:    <input type="checkbox"/> <b>YES</b>    <input type="checkbox"/> <b>NO</b></p> <p style="text-align: right;">APPROVED:    <input type="checkbox"/> <b>YES</b>    <input type="checkbox"/> <b>NO</b></p> <p><input type="checkbox"/> <b>RATIFIED</b></p>
√	<b>ENGINEERING</b> <i>ERIC OFFENBERG</i>	<i>EO</i>	<i>7/3/14</i>	
	<b>PLAZA OPERATIONS SAFETY &amp; SECURITY</b> <i>JIM SWANBERG</i>			
	<b>MAINTENANCE</b> <i>JAMES ROMANO</i>			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			
	<b>OTHER (SPECIFY)</b>			



**RHODE ISLAND Turnpike and Bridge Authority**  
Board Agenda Item Tracking System

<b>AGENDA ITEM</b> 8	<b>DATE PREPARED:</b> July 2, 2014	<b>SUBJECT:</b> PCI PENETRATION TESTING SERVICES
<b>FOR THE MEETING OF:</b> July 9, 2014		<b>PREPARED BY:</b> James Swanberg

**SUMMARY DESCRIPTION:**

Request the Board's consideration in the awarding of RFP 14-6 "PCI Penetration Testing Services". The RFP is to seek the services of a vendor to perform penetration testing of the RITBA information technology network so as to meet PCI compliance for this year.

There were five (5) bids received ranging in price from \$28,900.00 to \$10,000.00. The bids were rated on the following criteria to get the best value; price, references and quality of proposal.

The bid from Megaplan-IT had the best value at a cost of \$17,250.00 which was the second lowest bid price received.

**Financial Effect :**  
**MAXIMUM \$ 28,900.00 – MINIMUM \$10,000.00**

*Instructions:* The individual named at the top of this page as "preparer" indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING		INITIALS	DATE	<b>BOARD ACTION:</b>  ___ <b>TABLED:</b> UNTIL _____  ___ <b>DISCUSSED:</b> <i>Action Taken:</i> VOTE TAKEN: ___ YES ___ NO  APPROVED: ___ YES ___ NO  ___ <b>RATIFIED</b>
	<b>EXECUTIVE DIRECTOR</b> <i>EARL J. CROFT III</i>			
	<b>FINANCE</b> <i>NANCY E. PARRILLO</i>			
	<b>ENGINEERING</b> <i>ERIC OFFENBERG</i>			
	<b>PLAZA OPERATIONS SAFETY &amp; SECURITY</b> <i>JIM SWANBERG</i>	<i>JES</i>	<i>7/2/14</i>	
	<b>MAINTENANCE</b> <i>JAMES ROMANO</i>			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			

<i>Vendor</i>	<i>Contract</i>	<i>Amount Paid</i>
	Requisition 13	
<i>Aetna Bridge Company</i>	11-1-13	\$353,369.27
	12-1-10	\$238,355.12
Total		\$591,724.39
<i>Parsons Brinkerhoff</i>	11-1-14	\$13,276.12
	n/a	\$2,632.50
	n/a	\$1,053.00
Total		\$16,961.62
<i>Keville Enterprises</i>	11-1-12	28468.58
	11-1-13	27398.59
Total		\$55,867.17
<i>Cardno</i>	n/a	\$310.00
Total		\$310.00
<i>Modjeski and Masters, Inc</i>	n/a	\$2,801.36
	n/a	\$3,830.97
Total		\$6,632.33
<i>Jacobs Engineering</i>	n/a	\$18,701.82
Total		\$18,701.82
<i>WSP- Sells</i>	10-7-13	\$40,760.94
Total		\$40,760.94
<i>Ammann &amp; Whitney</i>	10-14-89	\$36,884.46
	10-14-88	\$8,110.03
	10-14-87	\$30,316.18
	10-14-86	\$4,568.00
	n/a	\$2,681.43
Total		\$79,878.67
<b>TOTAL PAID</b>		<b>\$810,836.94</b>

EXHIBIT A  
 FORM OF REQUISITION FOR  
 CONSTRUCTION FUND PROJECT ACCOUNTS  
 REQUISITION NO. 13  
 TRUSTEE

RE: \$30,000,000 Rhode Island Turnpike and Bridge Authority  
 Revenue Bond Anticipation Notes, Series 2013

TO: Trustee under the Master Indenture of Trust between the Rhode Island Turnpike  
 and Bridge Authority and Bank of New York Mellon Trust Company, N.A. as  
 Trustee dated as of February 7, 2013

This Requisition is made pursuant to Section 402 of the above Indenture.

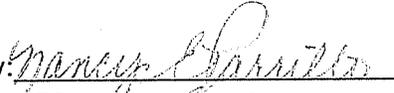
The Trustee is directed to pay sums out of the Project Account of the Construction Fund  
 entitled RITBA 2013 Project Acct:

<u>PAYEE</u>	<u>PURPOSE OF PAYMENT</u>	<u>AMOUNT</u>
* Aetna Bridge Company	Construction Contract 11-1,	\$ 353,369.27
	Construction Contract 12-1	<u>\$ 238,355.12</u>
<b>VIA Fed Wire:</b>	<b>Bank of America</b>	<b>\$ 591,724.39</b>
<b>Routing number :</b>	<b>026 009 593</b>	
<b>Account # :</b>	<b>9365207750</b>	
<b>Account Name :</b>	<b>Aetna Bridge Company</b>	
* Keville Enterprises, Inc.	Construction Inspection 11-1	\$ 28,468.58
Alden Crossing Office Park		<u>\$ 27,398.59</u>
475 School Street – Suite 11		\$ 55,867.17
Marshfield, MA 02050		
* PB Americas, Inc.	Engineering Services 11-1	\$ 13,276.12
Church Street Station		
PO Box 6241		
New York, NY 10249-6241		

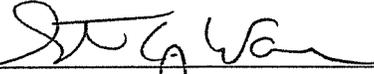
We hereby certify that the obligation mentioned herein (a) has been properly incurred, (b) is a proper charge against the Project Account of the Construction Fund named above, (c) is currently due and payable, (d) has not been previously paid or reimbursed, (e) has not been the basis of any previous withdrawal, and (f) is an item of "Cost" or "Project Cost" (as defined in the Note Indenture).

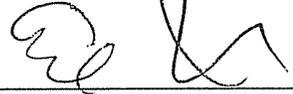
RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

CERTIFIED:

By:   
CFO

By:   
Engineer

By:   
Chairman

By:   
Executive Director

**APPLICATION AND CERTIFICATE FOR PAYMENT**

AIA DOCUMENT G702 (Instructions on reverse side)

TO OWNER: Rhode Island Turnpike and Bridge Authority

PROJECT: Steel/Protective Coatings Newport Bridge

APPLICATION NO.: 13

PERIOD TO: 4/28/2014

DISTRIBUTION: \* Parsons Brinckerhoff \* Aetna Bridge Co. \* Job File \* R McGinn

PROJECT NOS: 11-1

FROM CONTRACTOR:

**AETNA**  
Aetna Bridge Company, 30 Lockwood Street, Providence, R.I. 02906  
PELL ISL. MT. HOPE DATE  
CONTRACT 11-1-13 ACCT. 200 M040  
REQUESTED \$ 341,967.05  
RETAINED \$ 18,508.38  
NET PAYMENT \$ 323,458.67  
NO. 11/14

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, is attached.

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payments shown herein is now due.

1. ORIGINAL CONTRACT SUM ..... \$ 39,215,400.00  
2. Net change by Change Orders ..... \$ -

3. CONTRACT SUM TO DATE (Line 1+-2&2a) ..... \$ 39,215,400.00  
4. TOTAL COMPLETED & STORED TO DATE ..... \$ 9,561,006.07  
(Column G on G703)

5. RETAINAGE:  
a. 5 % of Completed Work \$ 478,050.30  
( Columns D + E on G703)  
b. \_\_\_\_\_ % of Stored Material \$ -  
(Column F on G703)  
Total Retainage ( Line 5a + 5b or Total in Column I of G703) ..... \$ 478,050.30

6. TOTAL EARNED LESS RETAINAGE ..... \$ 9,082,955.76  
(Line 4 less Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 8,729,586.49  
(Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE ..... \$ 353,369.27

9. BALANCE TO FINISH, INCLUDING RETAINAGE ..... \$ -  
(Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	-	-
Total approved this Month	-	-
<b>TOTALS</b>	-	-
NET CHANGES by Change Order	-	-

EXECUTIVE DIRECTOR

CONTRACTOR:

Jeffrey Bostock - Vice President

By: *[Signature]* Date: May 2, 2014

State of: RHODE ISLAND  
County of: PROVIDENCE  
Subscribed and sworn to before me on: 2 day of May 2014

Notary Public: *[Signature]*  
My Commission Expires: 6/1/2014  
ROBERT K. BARBER

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, base on on-site observation and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED..... \$ 353,369.27

(Attached explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

ARCHITECT:

By: *[Signature]* Date: 5/22/14

This certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

20-18030-200

# APPLICATION AND CERTIFICATE FOR PAYMENT

AIA DOCUMENT G702 (Instructions on reverse side)

TO OWNER: Rhode Island Turnpike and Bridge Authority  
 PROJECT: Roadway Repairs On Call Maint Newport Bridge  
 APPLICATION NO.: 10  
 PERIOD TO: 04/28/2014  
 PROJECT NOS.: 12-1  
 DISTRIBUTION: \* Parsons Brinckerhoff  
 \* Aetna Bridge Co.  
 \* Job File  
 \* S Aguiar

**AETNA** MT. HOPE □ DATE 6/21/14  
 CONTRACT 12-1-18CT. 12-1-18CT. 12-1-18CT.  
 REQUESTED \$ 750,909.13  
 RETAINED \$ 12,554.01  
 \$ 738,355.12  
 NO. 12-1-18CT. 12-1-18CT. 12-1-18CT.

FROM CONTRACTOR:

CONTRACT DATE: 10/17/2012

## CONTRACTOR'S APPLICATION FOR PAYMENT

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payments shown herein is now due.

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, is attached.

**EXECUTIVE DIRECTOR**

1. ORIGINAL CONTRACT SUM \$ 4,224,464.00

2. Net change by Change Orders \$ -

3. CONTRACT SUM TO DATE (Line 1+-2&2a) \$ 4,224,464.00

4. TOTAL COMPLETED & STORED TO DATE \$ 2,312,536.12

(Column G on G703)

5. RETAINAGE: a. % of Completed Work \$ 115,626.81

b. % of Stored Material \$ -  
 (Columns D + E on G703)  
 (Column F on G703)

Total Retainage (Line 5a + 5b or Total in Column I of G703) \$ 115,626.81

6. TOTAL EARNED LESS RETAINAGE \$ 2,196,909.31

(Line 4 less Line 5 Total)

## LESS PREVIOUS CERTIFICATES FOR PAYMENT

(Line 6 from prior Certificate) \$ 1,958,554.19

8. CURRENT PAYMENT DUE \$ 238,355.12

9. BALANCE TO FINISH, INCLUDING RETAINAGE \$ 207,190.30-200

(Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	-	-
Total approved this Month	-	-
TOTALS	-	-
NET CHANGES by Change Order	-	-

## CONTRACTOR:

Jeffrey A. Bostock - Vice President

By: [Signature]  
 State of: RHODE ISLAND  
 County of: PROVIDENCE

Date: April 30, 2014

Subscribed and sworn to before me on: 30 day of April 2014

[Signature]

Notary Public: Robert K. Barber

My Commission Expires: 06/01/2014

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, base on on-site observation and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 238,355.12

(Attached explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified, DLM 5/22/14)

ARCHITECT:

By: [Signature] Date: 4-30-2014

This certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract

[Handwritten initials]

# PARSONS BRINCKERHOFF

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

www.pbworld.com

April 11, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

PELL  MT HOPE DIST. INC. \_\_\_\_\_  
CONTRACT 11-1-14 ACCT. \_\_\_\_\_  
REQUESTED \$ 13,276.12 M040  
RETAINED \$ \_\_\_\_\_  
NET PAYMENT \$ 13,276.12 CK. NO. \_\_\_\_\_  
APPROVED: \_\_\_\_\_  
EXECUTIVE DIRECTOR

Subject: RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY - NEWPORT/PELL BRIDGE  
CONTRACT 11-1-1 STEEL REPAIRS & PROTECTIVE COATING - EAST APPROACH - CSS  
PB # 183820B - Estimate No 14 - Inv # 547689

Dear Mr. Offenberg:

20-18030-200

Enclosed please find an original of our invoice # 547689 in the amount of \$13,276.12  
This invoice covers Parsons Brinckerhoff's services performed relating to the above referenced project  
through March 28, 2014

Please find a self-addressed envelope for remitting payment to us. Please reference the above invoice  
number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Control Administrator at (212) 465-5558.

Very truly yours,  
PARSONS BRINCKERHOFF INC.



Debra Moolin  
Project Manager

Enclosures

APPROVED  
5/23/14  
[Signature]

# PARSONS BRINCKERHOFF

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

www.pbworld.com

April 14, 2014

Mr. Eric Offenberg  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

PELL  MT. HOPE  DATE 5/30/14  
CONTRACT 547790 ACCT. M040  
REQUESTED \$ 2,632.50  
RETAINED \$ \_\_\_\_\_  
NET PAYMENT \$ 2,632.50 CK. NO. 003159  
APPROVED: \_\_\_\_\_  
EXECUTIVE DIRECTOR

**Subject:** Rhode Island Turnpike & Bridge Authority  
OTHER ENGINEERING SERVICES - JAMESTOWN & NEWPORT  
PB # 183736A - Estimate No. 20 - INV# 547790

TYP PRESENTATION  
AT BOARD MTG

Dear Mr. Offenberg:

Enclosed please find an original and one copy of our invoice No. **547790** in the amount of **\$2,632.50**  
This invoice covers PB Americas, Inc. services performed relating to the above referenced project  
**through March 28, 2014.**

Also, please find a self-addressed envelope for remitting payment to us. Please reference the above invoice  
number on your payment for our internal use.

If there are any questions, please me at (212) 465-5443 or Michelle Berry, Project Control  
Administrator at (212) 465-5558.

Very truly yours,

20-52060-200

**PB AMERICAS, INC.**



Debra Moolin  
Project Manager

Enclosures

APPROVED 5/22/14  
AG

# PARSONS BRINCKERHOFF

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

April 11, 2014

www.pbworld.com

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

PELL  MT. HOPE   
CONTRACT 547654  
REQUESTED \$ 1,053.00  
OBTAINED \$ 1,053.00  
PAYMENT \$ 1,053.00  
APPROVED: EXECUTIVE [Signature] 3159  
20-10010-200

**Subject:** RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY  
MEDIAN BARRIER STUDY  
NEWPORT/PELL BRIDGE  
PB # 51143A - Estimate No. 33 Inv # 547654

Dear Mr. Offenberg:

Enclosed please find an original of our invoice No. **547654** in the amount of **\$1,053.00**  
This invoice covers PB Americas, Inc. services performed relating to the above referenced project  
through **March, 28, 2014**.

Also, please find a self-addressed envelope for remitting payment to us. Please reference the above invoice  
number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Control Administrator at (212) 465-5558.

Very truly yours,

**PB AMERICAS, INC.**



Debra Moolin  
Project Manager

Enclosures

APPROVED  
5/22/14  
[Signature]

KEVILLE ENTERPRISES, INC.  
 ALDEN CROSSING OFFICE PARK  
 475 SCHOOL STREET - SUITE 11  
 MARSHFIELD, MA. 02050

FID #04-3112591

4/02/14

INVOICE 13025-12

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
 ONE EAST SHORE ROAD  
 PO BOX 437  
 JAMESTOWN, RI 02835-0437

ATTENTION: ERIC OFFENBERG

RITBA CONSTRUCTION INSPECTION SERVICES FOR CONSTRUCTION CONTRACT 11-1.

PEL  
 CONTRACT 11-11  
 REQUESTED 11-11  
 RETAINED \$ 28,468.58  
 NET PAYMENT \$ 28,468.58  
 APPROVED: [Signature]  
 ENCL. NO. [Signature]

Direct Labor By Category	Actual Hours	Total Due	Previous Invoiced	Total Invoiced To Date	MAX. NOT TO EXCEED
RESIDENT ENGINEER	332.50	\$13,297.30	\$101,389.76	\$114,687.06	
TOTAL DIRECT LABOR		\$13,297.30	\$101,389.76	\$114,687.06	
INDIRECT LABOR 94.63%		\$12,583.23	\$96,044.12	\$108,627.35	

Other Direct Costs: (invoices attached)

TOTAL EXPENSE

Subtotal All Costs

FIXED FEE 10% (LABOR)

Total Cost Due

\$0.00	\$656.37	\$656.37
\$25,880.53	\$198,090.25	\$223,970.78
\$2,588.05	\$19,743.38	\$22,331.43
\$28,468.58	\$217,833.63	\$246,302.21
=====	=====	=====
		\$680,000.00

K.E. Invoice # 13025-12 Total Due \$28,468.58

\* KEI'S direct labor rates are escalated at an average rate of 3% on an annual basis.  
 (Georgakakis - Sept.; Deveau - Sept.; Fernandes - January; Beltzer - Sept.)

\* KEI'S field overhead rate is based upon an annual June audit and will be adjusted accordingly by June 30th.

[Handwritten Signature]

20-18030-200

KEVILLE ENTERPRISES, INC.  
 ALDEN CROSSING OFFICE PARK  
 475 SCHOOL STREET - SUITE 11  
 MARSHFIELD, MA. 02050

FID # 04-3142591

5/13/14

INVOICE 13025-13

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
 ONE EAST SHORE ROAD  
 PO BOX 437  
 JAMESTOWN, RI 02835-0437

ATTENTION: ERIC OFFENBERG

RITBA CONSTRUCTION INSPECTION SERVICES FOR CONSTRUCTION CONTRACT 11-1.

PEU X 7 HOPE D DATE 6/2/14  
 CANCELLED 11-1-13 ACCT.  
 REQUESTED 127,300.59  
 NET PAYMENT 127,300.59  
 APPROVED: EXECUTIVE DIRECTOR

Direct Labor By Category	Actual Hours	Total Due	Previous Invoiced	Total Invoiced To Date	MAX. NOT TO EXCEED
RESIDENT ENGINEER	314.50	\$12,797.52	\$114,687.06	\$127,484.58	
TOTAL DIRECT LABOR		\$12,797.52	\$114,687.06	\$127,484.58	
INDIRECT LABOR 94.63%		\$12,110.29	\$108,627.35	\$120,737.64	
Other Direct Costs: (invoices attached)		\$0.00	\$656.37	\$656.37	
TOTAL EXPENSE		\$24,907.81	\$223,970.78	\$248,878.59	
Subtotal All Costs		\$2,490.78	\$22,331.43	\$24,822.21	
FIXED FEE 10% (LABOR)		\$27,398.59	\$246,302.21	\$273,700.80	\$880,000.00
Total Cost Due		\$27,398.59			

K.E. Invoice # 13025-13 Total Due \$27,398.59

\* KEI'S direct labor rates are escalated at an average rate of 3% on an annual basis.  
 (Geogakkis - Sept.; Deveau - Sept.; Fernandes - January; Belizer - Sept.)  
 \* KEI'S field overhead rate is based upon an annual June audit and will be adjusted accordingly by June 30th.

20-18030-200



**Cardno**  
**ATC**

**Shaping the Future**

RECEIVED APR 28 2014

Project No: April 24, 2014  
301.36096.1006  
Invoice No: 1814993  
ATC REF: ATC:NE:301:EM  
Page: 1

Rhode Island Turnpike & Bridge Authority  
Attn: Mr. Eric Offenberg  
PO Box 437  
Jamestown, RI 02835

*20-52060-300  
NONE*

Project Mount Hope Bridge - Bristol, RI - SIR  
Per MPA 309

Project Manager: Stephen Gautie  
Task 00001 Site Investigation/SIR

	Budgeted Amount	This Invoice	Total Invoiced To Date
Release Notification	\$830.00	\$0.00	\$678.75
Health and Safety Plan	\$700.00	\$0.00	\$695.00
Property Plans / Access Agreements Private Property Owner Meetings	\$1,760.00	\$0.00	\$1,558.75
Sampling Plan / RIDEM Meetings	\$2,200.00	\$310.00	\$310.00
Sampling and XRF Field Screening for Lead	\$3,900.00	\$0.00	\$3,254.95
Laboratory Analyses	\$10,000.00	\$0.00	\$42.50
Site Investigation Report and Checklist	\$760.00	\$0.00	\$0.00
Pre and Post-SIR Abutter Notifications	\$4,100.00	\$0.00	\$875.00
Contingency, 10%	\$1,300.00	\$0.00	\$0.00
	\$2,550.00	\$0.00	\$0.00
<b>TOTALS:</b>	<b>\$28,100.00</b>	<b>\$310.00</b>	<b>\$7,414.95</b>

Please Pay This Amount **\$310.00**

PELL  MT. HOPE  DATE 6/2/14  
 CONTRACT 1814993 ACCT. 300 M040  
 REQUESTED \$ 310.00  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ 310.00 CK. NO. 3156  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

*APPROVED  
5/22/14  
[Signature]*

Please remit payment to: Cardno ATC, Dept. 3263-PO Box 123263, Dallas, TX 75312-3263



CHARLESTON WV | EDWARDSVILLE IL | MECHANICSBURG PA | MOORESTOWN NJ | NEW ORLEANS LA  
PHILADELPHIA PA | POUGHKEEPSIE NY | ST LOUIS MO | WASHINGTON DC

May 7, 2014

RECEIVED MAY 19 2014

*Invoice*

PELL <input type="checkbox"/>	MT HOPE <input type="checkbox"/>	DATE	6/2/14
CONTRACT		6-218349	ACCT. M040
REQUESTED	\$	2801.36	
RETAINED	\$		
NET PAYMENT	\$	2801.36	CK. NO. 3158
APPROVED:			
EXECUTIVE DIRECTOR			

*20-52060*

Mr. Eric Offenburg, Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
One East Shore Road  
Post Office Box 437  
Jamestown, Rhode Island 02835-0437

RE: M&M Project Number 3288  
Mt. Hope Bridge 2013 Routine Inspection

Dear Mr. Offenburg:

Enclosed is our Invoice No. 6 (218349) for engineering services provided in connection with the above referenced project through April 27, 2014.

The total amount due for this invoice is **\$2,801.36**.

If you have any questions, please call me.

Very truly yours,

*Michael J. Borzok*

Michael J. Borzok, P.E.  
Senior Project Manager

MJB:jlb

encl.

*Approved  
5/22/14  
[Signature]*



CHARLESTON WV | EDWARDSVILLE IL | MECHANICSBURG PA | MOORESTOWN NJ | NEW ORLEANS LA  
PHILADELPHIA PA | POUGHKEEPSIE NY | ST LOUIS MO | WASHINGTON DC

April 9, 2014

RECEIVED APR 14 2014

Mr. Eric Offenburg, Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
One East Shore Road  
Post Office Box 437  
Jamestown, Rhode Island 02835-0437

PELL <input type="checkbox"/>	MT HOPE <input checked="" type="checkbox"/>	DATE	6/2/14
CONTRACT		5(218265)	ACCT 20520603
REQUESTED	\$	3,830.97	
RETAINED	\$		
NET PAYMENT	\$	3,830.97	
APPROVED:			CK. NO 3158
EXECUTIVE DIRECTOR			

RE: M&M Project Number 3288  
Mt. Hope Bridge 2013 Routine Inspection

Dear Mr. Offenburg:

Enclosed is our Invoice No. 5 (218265) for engineering services provided in connection with the above referenced project through March 30, 2014.

The total amount due for this invoice is **\$3,830.97**.

20-52060-300

If you have any questions, please call me.

Very truly yours,

*Michael J. Borzok*

Michael J. Borzok, P.E.  
Senior Project Manager

MJB:jlb

encl.

APPROVED 5/22/14  
*[Signature]*

RECEIVED APR 28 2014

# JACOBS™

1999 Bryan Street  
Suite 1200  
Dallas, Texas 75201  
214.583.8500  
214.638.0447 fax

April 23, 2014

INVOICE NO.: **WTXT3600-36**

RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY  
One East Shore Road  
P. O. Box 437  
Newport/Pell Bridge Administration Building  
Jamestown, RI 02835

PLEASE REMIT TO:

JACOBS ENGINEERING  
c/o Bank of America  
800 Market St.  
Lockbox 18713F  
St. Louis, MO 63150-8713

Attn: Mr. Buddy J. Croft  
Executive Director

PROJECT NO: WTXT3600  
FOR PERIOD ENDING: 2/21/2014

RITBA Traffic and Revenue Consultant

PROFESSIONAL SERVICES	\$ 18,701.82
REIMBURSABLE EXPENSES	\$ -
<b>TOTAL AMOUNT DUE THIS INVOICE</b>	<b>\$ 18,701.82</b>

PELL <input checked="" type="checkbox"/> MT. HOPE <input type="checkbox"/>	DATE _____
CONTRACT <u>WTXT3600</u>	ACCT. <u>M040</u>
REQUESTED \$ <u>18701.82</u>	
RETAINED \$ _____	
NET PAYMENT \$ <u>18701.82</u>	CK. NO. <u>3157</u>
APPROVED: _____	EXECUTIVE DIRECTOR

5/22/2014

This statement may not include disbursements and other charges incurred during the period shown but not yet reflected on our accounting records  
Please reference invoice # with all payments

Remit to: Jacobs Engineering Group, Inc., c/o Bank of America, 800 Market St., Lockbox 18713F, St. Louis, MO 63150-8713  
Wire Transfer: Bank of America; ABA#111 000 012; Credit: Jacobs Engineering Group, Account #3750916030

# WSP - SELLS

P.O. Box 2650  
 Briarcliff Manor, NY 10510  
 914-747-1120

29979

DATE: May 16, 2014

Mr. Eric Offenberg, P.E.  
 Director of Engineering  
 Rhode Island Turnpike & Bridge Authority  
 One East Shore Road  
 PO Box 437  
 Jamestown, RI 02835

PELL  MT. HOPE  DATE 6/21/14  
 CONTRACT 10-7-B ACCT. 200 M040  
 REQUESTED \$ 40,760.99  
 RETAINED \$ 110,001.00  
 NET PAYMENT \$ 40,760.99  
 JOB No. 110001.006  
 2013-Inspection Scope of Work and Cost Proposal  
 RITBA Contract No. 10-7B  
 EXECUTIVE DIRECTOR  
 20-5206

Invoice No: 03 2013 Inspection Scope of Work and Cost Proposal  
 Invoice Period: January 26, 2014 through April 26, 2014

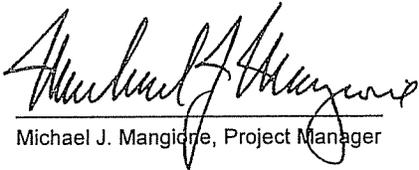
For Services January 26, 2014 through April 26, 2014

In accordance with our proposal dated August 20, 2013 the total cost of this work is not to exceed \$472,000.00

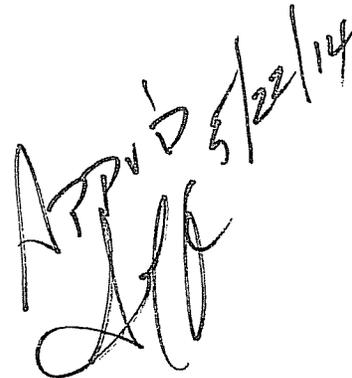
Project No. 110001.006	<u>Budget</u>	<u>Previously Billed</u>	<u>Current</u>	<u>Billed To Date</u>	<u>Balance</u>
Direct Technical Labor	\$109,144.60	\$58,480.11	\$14,979.25	\$73,459.36	\$35,685.24
Overhead & Fixed Fee	\$185,545.40	\$99,416.19	\$25,464.73	\$124,880.91	\$60,664.49
Out of Pocket Expenses	\$10,150.00	\$6,620.44	\$316.96	\$6,937.40	\$3,212.60
Subcontractor <sup>A</sup>	\$167,160.00	\$91,982.62	\$0.00	\$91,982.62	\$75,177.38
	\$472,000.00	\$256,499.36	\$40,760.94	\$297,260.29	\$174,739.71

TOTAL AMOUNT DUE THIS INVOICE: \$40,760.94

The charges submitted under this invoice have been review by me and are deemed to be appropriate and correct to the best of my knowledge for the worked performed to date.

  
 Michael J. Mangione, Project Manager

5/16/14  
 Date

Approved 5/22/14  


"An Equal Opportunity Employer, M/F"

This invoice is payable on presentation. A late charge of 1 1/2% per month will be added to all balances remaining unpaid 30 (thirty) days or more.

RECEIVED MAY 12 2014

96 Morton Street, New York, NY 10014-3309  
 212.462.8500 Fax 212.929.5356  
 www.ammann-whitney.com

Mr. Eric Offenberg, P.E.  
 Acting Director of Engineering  
 RI Turnpike & Bridge Authority  
 1 East Shore Road  
 Jamestown, Rhode Island 02835

PELLI MT. HOPE	DATE	6/2/14
CONTRACT	10-14-89	ACCT. 300 M040
REQUESTED	\$	36,884.46
RETAINED	\$	
NET PAYMENT	\$	36,884.46
APPROVED:		NO. 365
		EXECUTIVE DIRECTOR

Invoice No: 1776 No. 89  
 Date: May 7, 2014  
 RITBA Contract No. 10-14

20-52060-300

**Mount Hope Bridge On-Call**

**Task Order Assignment: Task 106 - Load Rating and Seismic Evaluation**

Invoice Period: March 29, 2014 through April 25, 2014

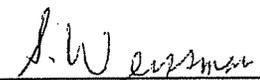
	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$168,770.00	\$95,201.89	\$14,078.04	\$109,279.93	64.75%
Salaries with Multiplier (2.62)	\$442,177.40	\$249,428.95	\$36,884.46	\$286,313.42	64.75%
Other Direct Costs	\$1,000.00	\$394.83	\$0.00	\$394.83	39.48%
AI Engineer Subconsultant	\$213,531.00	\$202,827.79	\$0.00	\$202,827.79	94.99%
Total Project Costs (Not to Exceed)	\$656,708.40 \$660,000.00	\$452,651.57	\$36,884.46	\$489,536.04	74.54%

**TOTAL DUE THIS INVOICE**

**\$36,884.46**

Certification

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.



Samuel Weissman, P.E.  
 Senior Vice President



**AMMANN & WHITNEY**

96 Morton Street, New York, NY 10014-3309  
 212.462.8500 Fax 212.929.5356  
 www.ammann-whitney.com

RECEIVED MAY 12 2014

PELL  MT HOPE  DATE 6/2/14  
 CONTRACT W-1488 OCT. M040  
 REQUESTED \$ \$,110.03  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ \$,110.03 CK. NO. 3155  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

Mr. Eric Offenberg, P.E.  
 Acting Director of Engineering  
 RI Turnpike & Bridge Authority  
 1 East Shore Road  
 Jamestown, Rhode Island 02835

Invoice No: 1776 No. 88  
 Date: May 7, 2014  
 RITBA Contract No. 10-14

*20-18031-300*

**Mount Hope Bridge On-Call**

**Task Order Assignment: Task 101 Contract 09-7 Construction Support Services**

Invoice Period: March 29, 2014 through April 25, 2014

	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$78,016.00	\$33,981.61	\$3,095.43	\$37,077.04	47.52%
Salaries with Multiplier (2.62)	\$204,401.92	\$89,031.82	\$8,110.03	\$97,141.84	47.52%
Other Direct Costs	\$8,600.00	\$634.51	\$0.00	\$634.51	7.38%
<b>Total Project Costs (Not to Exceed)</b>	<b>\$213,000.00</b>	<b>\$89,666.33</b>	<b>\$8,110.03</b>	<b>\$97,776.35</b>	<b>45.90%</b>

**TOTAL DUE THIS INVOICE**

**\$8,110.03**

Certification

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.

*S. Weissman*  
 Samuel Weissman, P.E.  
 Senior Vice President

*Approved  
 ALO  
 5/22/14*

RECEIVED APR 14 2014

Mr. Eric Offenberg, P.E.  
 Acting Director of Engineering  
 RI Turnpike & Bridge Authority  
 1 East Shore Road  
 Jamestown, Rhode Island 02835

PELL  MT. HOPE  DATE: 6/3/14  
 CONTRACT 10-14-87 ACCT. 300 M040  
 REQUESTED \$ 30,316.18  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ 30,316.18 CHECK NO. 3155  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

20-52060-300

Invoice No: 1776 No. 87  
 Date: April 10, 2014  
 RITBA Contract No. 10-14

**Mount Hope Bridge On-Call**

Task Order Assignment: Task 106 - Load Rating and Seismic Evaluation

Invoice Period: March 1, 2014 through March 28, 2014

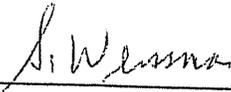
	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$168,770.00	\$83,630.83	\$11,571.06	\$95,201.89	56.41%
Salaries with Multiplier (2.62)	\$442,177.40	\$219,112.77	\$30,316.18	\$249,428.95	56.41%
Other Direct Costs	\$1,000.00	\$394.83	\$0.00	\$394.83	39.48%
AI Engineer Subconsultant	\$213,531.00	\$202,827.79	\$0.00	\$202,827.79	94.99%
<sup>A</sup> Total Project Costs (Not to Exceed)	\$656,708.40 \$660,000.00	\$422,335.39	\$30,316.18	\$452,651.57	68.93%

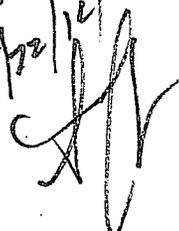
**TOTAL DUE THIS INVOICE**

**\$30,316.18**

Certification

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.

  
 Samuel Weissman, P.E.  
 Senior Vice President

Approved  
 5/22/14  


96 Morton Street, New York, NY 10014-3309  
 212.462.8500 Fax 212.929.5356  
 www.ammann-whitney.com

RECEIVED APR 14 2014

Mr. Eric Offenberg, P.E.  
 Acting Director of Engineering  
 RI Turnpike & Bridge Authority  
 1 East Shore Road  
 Jamestown, Rhode Island 02835

PELL  MT HOPE  DATE 6/2/14  
 CONTRACT 10-14-86 OCT. 30 OM040  
 REQUESTED \$ 4568.<sup>00</sup>  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ 4568.<sup>00</sup> CK. NO. 3155  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

Invoice No: 1776 No. 86  
 Date: April 10, 2014  
 RTBA Contract No. 10-14

*20-18031-300*

**Mount Hope Bridge On-Call**  
 Task Order Assignment: **Task 101 Contract 09-7 Construction Support Services**

Invoice Period: March 1, 2014 through March 28, 2014

	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$78,016.00	\$32,238.10	\$1,743.51	\$33,981.61	43.56%
Salaries with Multiplier (2.62)	\$204,401.92	\$84,463.82	\$4,568.00	\$89,031.82	43.56%
Other Direct Costs	\$8,600.00	\$634.51	\$0.00	\$634.51	7.38%
<b>Total Project Costs (Not to Exceed)</b>	<b>\$213,000.00</b>	<b>\$85,098.33</b>	<b>\$4,568.00</b>	<b>\$89,666.33</b>	<b>42.10%</b>

**TOTAL DUE THIS INVOICE**

**\$4,568.00**

Certification

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.

*S. Weissman*  
 Samuel Weissman, P.E.  
 Senior Vice President

*Approved 5/22/14*  
*[Signature]*

<b>Vendor</b>	<b>Contract</b>	<b>Amount Paid</b>
	Requisition 14	
<b>Aetna Bridge Company</b>	11-1-14r	\$2,658,422.07
	12-1-11	\$258,642.94
<b>Total</b>		<b>\$2,917,065.01</b>
<b>Keville Enterprises</b>	11-1-14	28,468.58
<b>Total</b>		<b>\$28,468.58</b>
<b>Parsons Brinkerhoff</b>	11-1-15	\$21,630.16
	10-7-1	\$16,649.42
<b>Total</b>		<b>\$38,279.58</b>
<b>Modjeski and Masters, Inc</b>	Project 3288	\$947.99
<b>Total</b>		<b>\$947.99</b>
<b>ITF Group</b>	n/a	\$3,665.00
<b>Total</b>		<b>\$3,665.00</b>
<b>Daktronics, INC.</b>	n/a	\$386,747.00
<b>Total</b>		<b>\$386,747.00</b>
<b>Ammann &amp; Whitney</b>	Task 106	\$57,248.55
	Task 101	\$14,646.40
<b>Total</b>		<b>\$71,894.95</b>
<b>TOTAL PAID</b>		<b>\$3,447,068.11</b>

EXHIBIT A  
 FORM OF REQUISITION FOR  
 CONSTRUCTION FUND PROJECT ACCOUNTS  
 REQUISITION NO. 14  
 TRUSTEE

RE: \$30,000,000 Rhode Island Turnpike and Bridge Authority  
 Revenue Bond Anticipation Notes, Series 2013

TO: Trustee under the Master Indenture of Trust between the Rhode Island Turnpike  
 and Bridge Authority and Bank of New York Mellon Trust Company, N.A. as  
 Trustee dated as of February 7, 2013

This Requisition is made pursuant to Section 402 of the above Indenture.

The Trustee is directed to pay sums out of the Project Account of the Construction Fund  
 entitled RITBA 2013 Project Acct:

<u>PAYEE</u>	<u>PURPOSE OF PAYMENT</u>	<u>AMOUNT</u>
Aetna Bridge Company	Construction Contract 11-1,	\$ 2,658,422.07
	Construction Contract 12-1	<u>\$ 258,642.94</u>
<b>VIA Fed Wire: Bank of America</b>		<b>\$ 2,917,065.01</b>
<b>Routing number : 026 009 593</b>		
<b>Account # : 9365207750</b>		
<b>Account Name : Aetna Bridge Company</b>		
 Keville Enterprises, Inc. Alden Crossing Office Park 475 School Street – Suite 11 Marshfield, MA 02050	 Construction Inspection 11-1	 \$ 18,235.64
 PB Americas, Inc. Church Street Station PO Box 6241 New York, NY 10249-6241	 Engineering Services 11-1 Engineering Services 10-7	 \$ 21,630.16 <u>\$ 16,649.42</u> \$ 38,279.58

We hereby certify that the obligation mentioned herein (a) has been properly incurred, (b) is a proper charge against the Project Account of the Construction Fund named above, (c) is currently due and payable, (d) has not been previously paid or reimbursed, (e) has not been the basis of any previous withdrawal, and (f) is an item of "Cost" or "Project Cost" (as defined in the Note Indenture).

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

CERTIFIED:

By: *Nancy Carrillo*  
CFO

By: *[Signature]*  
Engineer

By: *[Signature]*  
Chairman

By: *[Signature]*  
Executive Director

**PARSONS  
BRINCKERHOFF**

June 9, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
Newport/Pell Bridge Administration Building  
One East Shore Road  
P.O. Box 437  
Jamestown, RI 02835

PELL MT. HOPELL UNIT 07/21/14  
CONTRACT 11-1-14 ACCT. 200 MO40  
REQUESTED \$ 2,658,422.07  
RETAINED \$ 7,058,422.07  
NET PAYMENT \$ 7,058,422.07  
APPROVED: EXECUTIVE DIRECTOR

**RE: Newport/Pell Bridge  
Contract 11-1 Steel Repairs and Protective Coating – East Approach  
Progress Payment Request No. 14**

Dear Mr. Offenberg:

Attached is a copy of Aetna's Payment Request No. 14 for a total of \$2,658,422.07 and the accompanying Certification of Payment to Subcontractors and Vendors. PB has reviewed the invoice and verified with the Resident Engineers that all quantities and items included in the progress payment request by Aetna conform to the contract requirements.

We recommend that payment be released to Aetna Corporation.

Very truly yours,

**PARSONS BRINCKERHOFF**

*Debra L. Moolin*

Debra L. Moolin, P.E.  
Project Manager

Route: RQH/File

20-18030 200

11-1-14-RET  
\$ 139,916.95

AIA DOCUMENT G702 (Instructions are included) 14r  
**APPLICATION AND CERTIFICATE FOR PAYMENT**  
 Steel/Protective Coatings PROJECT  
 Newport Bridge  
 Rhode Island Turnpike and Bridge Authority  
 Application No. 5/27/2014  
 Period To 11-1  
 Project Nos. 13-113  
 Contract Date 6/9/14

DISTRIBUTION:  
 Parsons Brinckerhoff  
 Aetna Bridge Co  
 Job File  
 R. McGinn

FROM CONTRACTOR

CONTRACTOR

Jeffrey Braddock Vice President

By *[Signature]* Date June 5, 2014

Notary Public Robert F. Barter

My Commission Expires: 6/1/2014

ARCHITECT'S CERTIFICATE FOR PAYMENT

Robert F. Barter

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and that all amounts received from the Owner and that current payments shown herein is now due.

ORIGINAL CONTRACT SUM	\$ 39,215,400.00
CONTRACT SUM TO DATE (Line 1 + 2a)	\$ 39,215,400.00
TOTAL COMPLETED & STORED TO DATE	\$ 12,359,345.09
RETAINAGE	\$ 617,967.25
TOTAL EARNED LESS RETAINAGE	\$ 11,741,377.83
LESS PREVIOUS CERTIFICATES FOR PAYMENT	\$ 9,082,955.76
CURRENT PAYMENT DUE	\$ 2,658,422.07
BALANCE TO FINISH, INCLUDING RETAINAGE	\$ 2,658,422.07

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner		
Total approved this month		
<b>TOTALS</b>		
<b>NET CHANGES by Change Order</b>		

ARCHITECT'S CERTIFICATE FOR PAYMENT  
 In accordance with the Contract Documents, based on on-site observation and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.  
 AMOUNT CERTIFIED \$ 2,658,422.07  
 (Attached explanation of amount certified differs from the amount applied for. Initial all figures on this Application and on the Communication Sheet that are changed to conform to the amount certified) OK Robert F. Barter 6/5/14

By: *[Signature]* Date: 6/9/14  
 This certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

APPROVED 6/13/14

THE AMERICAN INSTITUTE OF ARCHITECTS 1907 F STREET, N.W. WASHINGTON, D.C. 20004

**PARSONS  
BRINCKERHOFF**

1361

June 9, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
Newport/Pell Bridge Administration Building  
One East Shore Road  
P.O. Box 437  
Jamestown, RI 02835

20-18031-200

**RE: Newport/Pell Bridge  
Contract 12-1 Roadway Repair/On-Call Maintenance  
Progress Payment Request No. 11**

Dear Mr. Offenberg:

Attached is Aetna's Payment Request No. 11 for a total of \$258,642.94 and the accompanying Certification of Payment to Subcontractors and Vendors. PB has reviewed the invoice and verified with the Resident Engineers that all quantities and items included in the progress payment request by Aetna conform to the contract requirements.

We recommend that payment be released to Aetna Corporation.

Very truly yours,  
**PARSONS BRINCKERHOFF**

*Debra L. Moolin*

Debra L. Moolin, P.E.  
Project Manager

Route: RQH/File

PELL <input checked="" type="checkbox"/> MT. HOPE <input type="checkbox"/>	DATE	6/20/14
CONTRACT	12-1-11	ACCT. 200 M040
REQUESTED	\$	258,642.94
RETAINED	\$	
NET PAYMENT	\$	
APPROVED:		CK. NO. 744
EXECUTIVE DIRECTOR		

12-1-11 Ret  
13, 615.79

**APPLICATION AND CERTIFICATE FOR PAYMENT**

AIA DOCUMENT G702 (Instructions on reverse side)

TO OWNER: Rhode Island Turnpike and Bridge Authority PROJECT: Roadway Repairs On Call Maint Newport Bridge DISTRIBUTION: 11  
 PERIOD TO: 5/24/2014  
 PROJECT NOS.: 12-1  
 \* Parsons Brinckerhoff  
 \* Aetna Bridge Co.  
 \* Job File  
 \* S Aguiar

FROM CONTRACTOR:



Aetna Bridge Company, 30 Lockbridge Street, Pawtucket, R.I. 02860

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 4,224,464.00
2. Net change by Change Orders ..... \$ -
3. CONTRACT SUM TO DATE (Line 1+2&2a) ..... \$ 4,224,464.00
4. TOTAL COMPLETED & STORED TO DATE ..... \$ 2,584,791.85  
(Column G on G703)
5. RETAINAGE:  
 a.    % of Completed Work \$ 129,239.59  
 (Columns D + E on G703)  
 b.    % of Stored Material \$ -  
 (Column F on G703)  
 Total Retainage ( Line 5a + 5b or Total in Column I of G703) ..... \$ 129,239.59
6. TOTAL EARNED LESS RETAINAGE ..... \$ 2,455,552.25  
(Line 4 less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT  
(Line 6 from prior Certificate) ..... \$ 2,196,909.31
8. CURRENT PAYMENT DUE ..... \$ 258,642.94
9. BALANCE TO FINISH, INCLUDING RETAINAGE  
(Line 3 less Line 6) .....

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	-	-
Total approved this Month	-	-
<b>TOTALS</b>	<b>-</b>	<b>-</b>
NET CHANGES by Change Order	-	-

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payments shown herein is now due.

**CONTRACTOR:**

Jeffrey A. Bostock - Vice President

By: [Signature] Date: May 27, 2014

State of: RHODE ISLAND

County of: PROVIDENCE

Subscribed and sworn to before

me on: 27 day of: May 2014

Notary Public: [Signature] Robert K. Barber

My Commission Expires: 6/1/2014

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, base on on-site observation and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED..... \$ 258,642.94  
 (Attached explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

By: [Signature] David P. Duran 5/27/14

Date: 6/5/14

This certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

AIA DOCUMENT G702 - APPLICATION AND CERTIFICATE FOR PAYMENT - 1992 EDITION - AIA - 1992 - THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVENUE, N.W. WASHINGTON, D.C. 20006-5292 - WARNING: Unlicensed photocopying violates U.S. copyright laws and will subject the violator to legal prosecution.

[Signature]  
 6/13/14

RITBA CONSTRUCTION INSPECTION SERVICES FOR CONSTRUCTION CONTRACT 11-1

KEVILLE ENTERPRISES, INC.  
CERTIFIED PAYROLL

Work Performed On: EAST PASSAGE CROSSING OF NARRAGANSETT BAY OF THE NEW PORT/PELL BRIDGE  
4/27/14-5/31/14 INVOICE 13025-14

NAME/ POSITION	WEEKLY					TOTAL HOURS	TOTAL RATE/ HOUR	TOTAL PAYROLL
	3-May-14	10-May-14	17-May-14	24-May-14	31-May-14			
HARRY GEORGEAKAKIS						0.00	\$35.56	0.00
	STRAIGHT TIME							
CRAIG SHARPLES	40.00	45.00	45.00	44.00	35.00	209.00	\$39.59	8,274.31
	STRAIGHT TIME							
DAVE DEVEAU	49.00	43.00	44.00	43.00	43.50	222.50	\$44.77	9,961.33
	STRAIGHT TIME							
KEITH HUGHES						0.00	\$32.91	0.00
	TOTAL AMOUNT							
	89.00	88.00	89.00	87.00	35.00	431.50		18,235.64

I CERTIFY UNDER PAINS AND PENALTIES THAT ALL WORK FOR WHICH PAYMENT IS REQUESTED HAS BEEN PERFORMED AND THAT SUCH PERFORMANCE IS IN FULL COMPLIANCE WITH THE PROVISIONS OF THE AGREEMENT.

*Bernadette Carroll*  
BERNADETTE CARROLL  
EXECUTIVE VICE PRESIDENT

CRAIG SHARPLES	39.59	HARRY GEORGEAKAKIS	44.77	DAVE DEVEAU	44.77	KEITH HUGHES	32.91
OVER HEAD 94.63%	37.46		42.37		42.37		31.14
FEE 10%	7.71		8.71		8.71		6.41
LOADED RATE	84.76		95.85		95.85		70.46

70-18050-200  
APR 17 6 11 13 PM '14  
*[Signature]*

**PARSONS  
BRINCKERHOFF**

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

May 19, 2014

www.pbworld.com

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

20-18030-200

**Subject: RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY - NEWPORT/PELL BRIDGE  
CONTRACT 11-1 - STEEL REPAIRS & PROTECTIVE COATING - EAST APPROACH - CSS  
PB # 183820B - Estimate No 15 - Inv # 551065**

Dear Mr. Offenberg:

Enclosed please find an original of our invoice # **551065** in the amount of **\$21,630.16**  
This invoice covers Parsons Brinckerhoff's services performed relating to the above referenced project  
through **May 2, 2014**.

Please find a self-addressed envelope for remitting payment to us. Please reference the above invoice  
number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Control Administrator at (212) 465-5558.

Very truly yours,  
**PARSONS BRINCKERHOFF INC.**

*Michelle Berry*  
Debra Moolin  
Project Manager

Enclosures

*Approved*  
*[Signature]*  
6/13/14

# PARSONS BRINCKERHOFF

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

www.pbworld.com

May 19, 2014

*PB on-call Engineering  
RE-10-7*

Mr. Eric Offenber, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

*20-18030-200*

**Subject: RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY - NEWPORT/PELL BRIDGE  
PHASE I - LIDAR DECK SCANNING  
PB # 183960A - Estimate No 1 - Inv # 551071**

Dear Mr. Offenber:

Enclosed please find an original of our invoice # **551071** in the amount of **\$16,649.42**.  
This invoice covers Parsons Brinckerhoff's services performed relating to the above referenced project  
through **May 2, 2014**.

Please find a self-addressed envelope for remitting payment to us. Please reference the above invoice  
number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Administrator at (212) 465-5558.

Very truly yours,  
**PARSONS BRINCKERHOFF INC.**

*Debra L Moolin*

Debra Moolin  
Project Manager

Enclosures

*Approved  
6/13/14  
[Signature]*



RECEIVED JUN 14 2014

CHARLESTON WV | EDWARDSVILLE IL | MECHANICSBURG PA | MOORESTOWN NJ | NEW ORLEANS LA  
PHILADELPHIA PA | POUGHKEEPSIE NY | ST LOUIS MO | WASHINGTON DC

June 5, 2014

Mr. Eric Offenburg, Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
One East Shore Road  
Post Office Box 437  
Jamestown, Rhode Island 02835-0437

RE: M&M Project Number 3288  
Mt. Hope Bridge 2013 Routine Inspection

Dear Mr. Offenburg:

Enclosed is our Invoice No. 7 (218491) for engineering services provided in connection with the above referenced project through May 25, 2014.

The total amount due for this invoice is **\$947.99**.

If you have any questions, please call me.

Very truly yours,

Michael J. Borzok, P.E.  
Senior Project Manager

MJB:jlb

encl.

2052060-300

APPROVED  
6/10

2014 JUN 23



**Integrated Transportation Finance Group LLC**

38 Nugentown Road  
Little Egg Harbor, NJ 08087-2707  
609-431-6700

James A. Crawford PP, AICP  
Principal

June 20, 2014

20-18070-100

Mr. Buddy Croft  
Rhode Island Turnpike and Bridge Authority  
PO Box 437  
Jamestown, RI 02908

Invoice for April, May and June 2014 - Project Management for Back-Office Implementation

Dear Mr. Croft:

Thank you for the opportunity to work with RITBA.

Enclosed is the invoice for April, May and June work on the subject project. The invoice for the Back-Office contract is for the amount of \$3,665.00. I have billed at a discounted rate for conference call time. All expenses related to this work are covered by overhead and included in the hourly rate.

The Total for the invoice is \$3,665.00. There is also an outstanding invoice from April for the amount of \$2,245.00 *pd*

Thank you for your assistance and the opportunity to serve RITBA.

Sincerely,

*James A. Crawford*  
James A. Crawford  
Principal

PELLE	MT. HOPE	DATE	6/20
CONTRACT			M040
REQUESTED	\$	3,665.00	
RETAINED	\$		
NET PAYMENT	\$	11	CK. NO. 3104
APPROVED:	EXECUTIVE DIRECTOR		

*Back Office*

# DAKTRONICS

**Remit To:**  
 DAKTRONICS, INC.  
 SDS-12-2222  
 PO BOX 86  
 MINNEAPOLIS, MN 55486 (USA)

**Invoice No:** 6600954  
**Invoice Date:** 06/16/14  
**Payment Due Date:** 07/16/14  
**Cust No:** 186390-001  
**Purchase Order No:** CONTRACT

201 DAKTRONICS DRIVE  
 PO BOX 5110  
 BROOKINGS, SD 57006 (USA)  
 Phone: 800-325-8766  
 Fax: 605-697-4700  
 www.daktronics.com

<p><b>Sold To:</b>                  ACCOUNTS PAYABLE                  RHODE ISLAND TURNPIKE AND BRIDGE                  1 E SHORE RD                  JAMESTOWN, RI 02835-1621 (USA)</p> <p>Attn: JIM SWANBERG</p>	<p><b>Ship To:</b>                  RHODE ISLAND TURNPIKE AND BRIDGE                  ATTN: BUDDY CROFT                  PH: 401-423-0800                  1 E SHORE RD                  JAMESTOWN, RI 028351621</p>
--	--

<b>Our Job</b> C21844	<b>Shipped Via</b>	<b>Delivery Terms</b> FOB DAKTRONICS	<b>Payment Terms</b> NET 30 DAYS
--------------------------	--------------------	---	-------------------------------------

LINE	ITEM DESCRIPTION	UNIT OF MEASURE	QUANTITY	UNIT PRICE	AMOUNT	
<u>All Amounts in USA US DOLLARS</u>					386,747.00	386,747.00
WF-2420-18X105-46-A @1	NET 30 DAYS 100% UPON INSTALLATION				386,747.00	
				<b>Items Subtotal</b>	386,747.00	
				<b>Subtotal</b>	386,747.00	
				<b>TOTAL</b>	386,747.00	

For questions regarding this invoice please contact  
 RYAN NAGELHOUT at 605-697-4000.

PELLE MT. HOPELI	DATE	07/10
CONTRACT	N/14	ACCT. 200 M040
REQUESTED	\$	386,747
RETAINED	\$	
NET PAYMENT	\$	
APPROVED:	CK. NO.	3164
EXECUTIVE DIRECTOR		

20-180-70-200  
 PK  
 MP

Eg. As set



Federal Tax I.D. #46-0306862  
 Make payment from this invoice.  
 No return goods accepted without prior authorization.  
 For invoice inquiry, contact us at [acctsrec@daktronics.com](mailto:acctsrec@daktronics.com)  
 To receive proper credit, please indicate  
 Invoice Number 6600954 on your remittance.  
 \*For Overnight Packages, please use this address:  
 Daktronics, U.S. Bank Lockbox, SDS-12-2222, 1200 Energy Park Dr., St Paul, MN 55108

Invoice Total	US\$386,747.00
Payments Received	US\$0.00
<b>NET DUE</b>	US\$386,747.00
	Currency USA

**AMMANN & WHITNEY**

96 Morton Street, New York, NY 10014-3309  
212.462.8500 Fax 212.929.5356  
www.ammann-whitney.com

RECEIVED JUN 19 2014

Mr. Eric Offenber, P.E.  
Acting Director of Engineering  
RI Turnpike & Bridge Authority  
1 East Shore Road  
Jamestown, Rhode Island 02835

20-18031-300

Invoice No: 1776 No. 91  
Date: June 16, 2014  
RITBA Contract No. 10-14

**Mount Hope Bridge On-Call**

**Task Order Assignment: Task 106 - Load Rating and Seismic Evaluation**

Invoice Period: April 26, 2014 through May 30, 2014

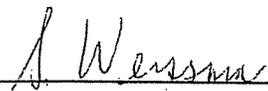
	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$168,770.00	\$109,279.93	\$21,850.59	\$131,130.52	77.70%
Salaries with Multiplier (2.62)	\$442,177.40	\$286,313.42	\$57,248.55	\$343,561.96	77.70%
Other Direct Costs	\$1,000.00	\$394.83	\$0.00	\$394.83	39.48%
AI Engineer Subconsultant	\$213,531.00	\$202,827.79	\$0.00	\$202,827.79	94.99%
Total Project Costs (Not to Exceed)	\$656,708.40 \$660,000.00	\$489,536.04	\$57,248.55	\$546,784.58	83.26%

**TOTAL DUE THIS INVOICE**

**\$57,248.55**

Certification

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.



Samuel Weissman, P.E.  
Senior Vice President

APPROVED  
6/18  


**AMMANN & WHITNEY**

96 Morton Street, New York, NY 10014-3309  
 212.462.8500 Fax 212.929.5356  
 www.ammann-whitney.com

RECEIVED JUN 19 2014

Mr. Eric Offenberg, P.E.  
 Acting Director of Engineering  
 RI Turnpike & Bridge Authority  
 1 East Shore Road  
 Jamestown, Rhode Island 02835

20-18031-300

Invoice No: 1776 No. 90  
 Date: June 16, 2014  
 RITBA Contract No. 10-14

**Mount Hope Bridge On-Call**

**Task Order Assignment:**

**Task 101 Contract 09-7 Construction Support Services**

Invoice Period: April 26, 2014 through May 30, 2014

	<u>Budget</u>	<u>Previously Billed</u>	<u>Billed This Period</u>	<u>Total Billed To Date</u>	<u>Percent of Budget</u>
Direct Salaries	\$78,016.00	\$37,077.04	\$5,590.23	\$42,667.27	54.69%
Salaries with Multiplier (2.62)	\$204,401.92	\$97,141.84	\$14,646.40	\$111,788.25	54.69%
Other Direct Costs	\$8,600.00	\$634.51	\$0.00	\$634.51	7.38%
<b>Total Project Costs (Not to Exceed)</b>	<b>\$213,000.00</b>	<b>\$97,776.35</b>	<b>\$14,646.40</b>	<b>\$112,422.76</b>	<b>52.78%</b>

**TOTAL DUE THIS INVOICE**

**\$14,646.40**

**Certification**

I hereby certify that to the best of my knowledge and belief, this accounting represents a true and complete statement for services rendered in conformity with the terms of the contract.

Samuel Weissman  
 Samuel Weissman, P.E.  
 Senior Vice President

APPROVED 6/18

<b>Vendor</b>	<b>Contract</b>	<b>Amount Paid</b>
	Requisition 15	
<b>Aetna Bridge Company</b>	11-1-15	1,279,905.52
<b>Total</b>		<b>1,279,905.52</b>
<b>Keville Enterprises</b>	11-1-15	38,349.31
<b>Total</b>		<b>38,349.31</b>
<b>Parsons Brinkerhoff</b>	11-1-16	12,321.85
	10-7a-23	182.25
	10-7a-2	7,711.31
<b>Total</b>		<b>20,215.41</b>
<b>CDM Smith</b>	12-98-3	92,151.72
	12-98-4	5,986.64
	12-98-2	16,453.13
	12-98-1	2,821.06
<b>Total</b>		<b>117,412.55</b>
<b>Cardno</b>	n/a	5,149.89
<b>Total</b>	n/a	<b>5,559.18</b>
<b>TOTAL PAID</b>		<b>\$1,466,591.86</b>

EXHIBIT A  
 FORM OF REQUISITION FOR  
 CONSTRUCTION FUND PROJECT ACCOUNTS  
 REQUISITION NO. 15  
 TRUSTEE

RE: \$30,000,000 Rhode Island Turnpike and Bridge Authority  
 Revenue Bond Anticipation Notes, Series 2013

TO: Trustee under the Master Indenture of Trust between the Rhode Island Turnpike  
 and Bridge Authority and Bank of New York Mellon Trust Company, N.A. as  
 Trustee dated as of February 7, 2013

This Requisition is made pursuant to Section 402 of the above Indenture.

The Trustee is directed to pay sums out of the Project Account of the Construction Fund  
 entitled RITBA 2013 Project Acct:

<u>PAYEE</u>	<u>PURPOSE OF PAYMENT</u>	<u>AMOUNT</u>
Aetna Bridge Company <b>VIA Fed Wire:</b> Bank of America <b>Routing number :</b> 026 009 593 <b>Account # :</b> 9365207750 <b>Account Name :</b> Aetna Bridge Company	Construction Contract 11-1,	\$ 1,279,905.52
Keville Enterprises, Inc. Alden Crossing Office Park 475 School Street – Suite 11 Marshfield, MA 02050	Construction Inspection 11-1	\$ 38,349.31
PB Americas, Inc. Church Street Station PO Box 6241 New York, NY 10249-6241	Engineering Services 11-1	\$ 12,321.85

We hereby certify that the obligation mentioned herein (a) has been properly incurred, (b) is a proper charge against the Project Account of the Construction Fund named above, (c) is currently due and payable, (d) has not been previously paid or reimbursed, (e) has not been the basis of any previous withdrawal, and (f) is an item of "Cost" or "Project Cost" (as defined in the Note Indenture).

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

CERTIFIED:

By: Nancy L. Parrella  
CFO

By: [Signature]  
Engineer

By: \_\_\_\_\_  
Chairman

By: \_\_\_\_\_  
Executive Director

**PARSONS  
BRINCKERHOFF**

June 30, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike and Bridge Authority  
Newport/Pell Bridge Administration Building  
One East Shore Road  
P.O. Box 437  
Jamestown, RI 02835

PELL BRIDGE MT. HOPE  DATE 6/30  
CONTRACT 11-1-15 ACCT. 200 M040  
REQUESTED \$ 1,279,905.52  
RETAINED \$ 1,279,905.52  
NET PAYMENT \$ 0  
APPROVED: 11 CK. NO. 11-1-15  
EXECUTIVE DIRECTOR

11-1-15

**RE: Newport/Pell Bridge  
Contract 11-1 Steel Repairs and Protective Coating – East Approach  
Progress Payment Request No. 15**

Dear Mr. Offenberg:

Attached is a copy of Aetna's Payment Request No. 15 for a total of \$1,279,905.52 and the accompanying Certification of Payment to Subcontractors and Vendors. PB has reviewed the invoice and verified with the Resident Engineers that all quantities and items included in the progress payment request by Aetna conform to the contract requirements. Note that this invoice incorporates contract revisions made under Change Order 1 (attached for reference).

We recommend that payment be released to Aetna Corporation.

Very truly yours,

**PARSONS BRINCKERHOFF**



Debra L. Moolin, P.E.  
Project Manager

Route: RQH/File

APR 6/30/14  


KEVILLE ENTERPRISES, INC.  
 ALDEN CROSSING OFFICE PARK  
 475 SCHOOL STREET - SUITE 11  
 MARSHFIELD, MA. 02050

FID # 04-3112591

6/30/14  
 INVOICE 13025-15

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
 ONE EAST SHORE ROAD  
 PO BOX 437  
 JAMESTOWN, RI 02835-0437

ATTENTION: ERIC OFFENBERG

RITBA CONSTRUCTION INSPECTION SERVICES FOR CONSTRUCTION CONTRACT 11-1.

20-18030-200

APPROVED: EXECUTIVE DIRECTOR  
 NET PAYMENT \$ 11,384.96  
 REQUESTED \$ 137,994.02  
 RETAINED \$ 126,609.06  
 CONTRACT 11-1 - IS ACCT 11-1  
 RELYING MT. HOPKIN DATE 6/30/14  
 CK NO 11-1-15

Direct Labor By Category	Actual Hours	Total Due	Previous Invoiced	Total Invoiced To Date	MAX. NOT TO EXCEED
RESIDENT ENGINEER	401.50	\$16,941.75	\$145,720.22	\$162,661.97	
TOTAL DIRECT LABOR		\$16,941.75	\$145,720.22	\$162,661.97	
INDIRECT LABOR 98.35%		\$16,662.21	\$137,994.02	\$154,656.23	
OVERTIME PREMIUM	65.50	\$1,384.96		\$1,384.96	
Other Direct Costs: (Invoices attached)		\$0.00	\$656.37	\$656.37	
TOTAL EXPENSE		\$34,988.92	\$284,370.61	\$319,359.53	
Subtotal All Costs		\$3,360.40	\$28,371.41	\$31,731.81	
FIXED FEE 10% (LABOR)		\$38,349.31	\$312,742.02	\$351,091.33	\$880,000.00
Total Cost Due		\$38,349.31	\$312,742.02	\$351,091.33	

K.E. Invoice # 13025-15 Total Due \$38,349.31

\* KEI'S direct labor rates are escalated at an average rate of 3% on an annual basis. (Geogakkis - Sept.; Deveau - Sept.; Fernandes - January; Beltzer - Sept.)  
 \* KEI'S field overhead rate is based upon an annual June audit. New rate effective with June invoice.

Approved  
 [Signature]

Series 2013 BABANS ~~the~~ project Fund

**PARSONS  
BRINCKERHOFF**

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593

www.pbworld.com

June 18, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

PELL <input checked="" type="checkbox"/> MT. HOPE <input type="checkbox"/>	DATE	6/30
CONTRACT	11-116	ACCT. 720 M040
REQUESTED	\$	12,321.85
RETAINED	\$	
NET PAYMENT	\$	
APPROVED:		CK. NO. REUS
EXECUTIVE DIRECTOR		

Subject: RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY - NEWPORT/PELL BRIDGE  
CONTRACT 11-1 - STEEL REPAIRS & PROTECTIVE COATING - EAST APPROACH - CSS  
PB # 183820B - Estimate No 16 - Inv # 554546

20-18030-200

Dear Mr. Offenberg:

Enclosed please find an original of our invoice # **554546** in the amount of **\$12,321.85**  
This invoice covers Parsons Brinckerhoff's services performed relating to the above referenced project  
through **May 30, 2014**

Please reference the above invoice number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Control Administrator at (212) 465-5558.

Very truly yours,  
**PARSONS BRINCKERHOFF INC.**

Debra Moolin  
Project Manager

Enclosures

Approved  
6/30/14

# PARSONS BRINCKERHOFF

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593  
www.pbworld.com

PELL  MT. HOPE  DATE 6/30  
CONTRACT 10-7A ACCT. 100 M040  
REQUESTED \$ 182.25  
RETAINED \$ \_\_\_\_\_  
NET PAYMENT \$ \_\_\_\_\_  
APPROVED: \_\_\_\_\_  
EXECUTIVE DIRECTOR  
CK. NO. 3168

June 18, 2014

Mr. Eric Offenberg  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

CONTRACT 10-7A

**Subject: Rhode Island Turnpike & Bridge Authority  
OTHER ENGINEERING SERVICES - JAMESTOWN & NEWPORT  
PB # 183736A - Estimate No. 23 - INV# 554536**

20-52060-100

Dear Mr. Offenberg:

Enclosed please find an original and one copy of our invoice No. **554536** in the amount of **\$182.25**. This invoice covers PB Americas, Inc. services performed relating to the above referenced project through **May 30, 2014**.

New Toll Plaza Sign Drawing & Calculation Review

Please reference the above invoice number on your payment for our internal use.

If there are any questions, please me at (212) 465-5443 or Michelle Berry, Project Control Administrator at (212) 465-5558.

Very truly yours,

**PB AMERICAS, INC.**



Debra Moolin  
Project Manager

Enclosures

APPROVED  
6/30/14  


# PARSONS BRINCKERHOFF

PELL  MT. HOPE LI  
CONTRACT 10-7A DATE 6/30  
REQUESTED 10-7A ACCT. 6/30 M040  
RETAINED \$ 7711.31  
NET PAYMENT \$ \_\_\_\_\_  
APPROVED: \_\_\_\_\_ CK. NO. 3/68  
EXECUTIVE DIRECTOR

One Penn Plaza  
New York, NY 10119  
Main: +1-212-465-5000  
Fax: +1-212-465-5593  
www.pbworld.com

June 18, 2014

Mr. Eric Offenberg, P.E.  
Director of Engineering  
Rhode Island Turnpike & Bridge Authority  
1 East Shore Road (P.O. Box 437)  
Jamestown, Rhode Island 02835

CONTRACT 10-7A

Subject: RHODE ISLAND TURNPIKE & BRIDGE AUTHORITY - NEWPORT/PELL BRIDGE  
PHASE I - LIDAR DECK SCANNING  
PB # 183960A - Estimate No 2 - Inv # 554548

20-18031-200

Dear Mr. Offenberg:

Enclosed please find an original of our invoice # **554548** in the amount of **\$7,711.31**  
This invoice covers Parsons Brinckerhoff's services performed relating to the above referenced project  
through **May 30, 2014**

Please reference the above invoice number on your payment for our internal use.

If there are any questions, please contact Debra Moolin, Project Manager at (212) 465-5443 or Michelle Berry,  
Project Administrator at (212) 465-5558.

Very truly yours,  
PARSONS BRINCKERHOFF INC.



Debra Moolin  
Project Manager

Enclosures

APPROVED  
[Signature]  
6/30/14



900 Chapel Street, Suite 1400  
 New Haven, Connecticut 06510-2802  
 tel: 203 865-2191  
 fax: 203 624-0484

PELL  MT. HOPE  DATE 6/30/14  
 CONTRACT 12-98 ACCT. 100 M040  
 REQUESTED \$ 92,151.72  
 RETAINED \$             
 NET PAYMENT \$             
 APPROVED:            CK. NO. 3169  
 EXECUTIVE DIRECTOR

June 25, 2014

Mr. Eric Offenberg, P.E.  
 Director of Engineering  
 Rhode Island Turnpike and Bridge Authority  
 One East Shore Road  
 Jamestown, Rhode Island 02835

CONTRACT 12-98

Subject: Invoice No.1 for RITBA All Electronic Toll System (AETS) Review and Testing

Dear Mr. Offenberg:

20-52060-100

Please find the enclosed Invoice No. 1 for engineering services associated with the Task Order #3 - RITBA AETS Review and Testing. This invoice for \$92,151.72 reflects services performed from November 6, 2013 through June 24, 2014. Provided below are the tasks that we performed during the reporting period:

- Traffic data collection and analysis of the Sakonnet Bridge and the Newport-Pell Bridge, including comparing the results of the independent traffic counts to the toll system traffic reports;
- Developed a Field Test Plan in collaboration with RITBA staff;
- Reviewed all of the system design documentation that was received from the systems integrator (SANEF);
- Evaluated the system integrator's AETS design, including the overall design, hardware and software capabilities;
- Conducted roadside AETS testing following the agreed upon Field Test Plan;
- Reviewed and analyzed test data;
- Held several meetings with RITBA and SANEF staff to review the test results and to try and reconcile detected problems;
- Prepared and submitted a draft report that included the results of the AETS test, an analysis of the entire toll system design and operations, and recommendations regarding which toll equipment and subsystems would need to be upgraded or replaced.

At the conclusion of this reporting/invoicing period, we have expended 97 percent of the overall not to exceed fee amount of \$95,000. This is the final invoice for this task order and the task will be closed out after payment.

6/30/14



260 West Exchange Street, Suite 300  
 Providence, RI 02903  
 tel: 401-751-5360  
 fax: 401-274-2173

PELL  MT. HOPE  DATE 6/30/14  
 CONTRACT 12-98 ACCT. 100 MOD 0  
 REQUESTED \$ \_\_\_\_\_  
 RETAINED \$ 5,986.64  
 NET PAYMENT \$ \_\_\_\_\_  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR  
 CK. NO. 3/69

June 26, 2014

Mr. Eric Offenberg, P.E.  
 Director of Engineering  
 Rhode Island Turnpike and Bridge Authority  
 One East Shore Road  
 Jamestown, Rhode Island 02835

CONTRACT 12-98

Subject: Invoice No.1 for Evaluation of Cesspool Replacement - 1 Old Ferry Road,  
 Bristol, RI

20-52060-100

Dear Mr. Offenberg:

Please find the enclosed Invoice No. 1 for engineering services associated with the Task Order #4 - Evaluation of Cesspool Replacement -1 Old Ferry Road, Bristol, RI. This invoice for \$5,986.64 reflects services performed from January 25, 2014 through June 24, 2014. Tasks completed thus far include:

- Attendance at meetings
- Review of existing site plans
- Site visit to investigate existing conditions
- Preparation of calculations for sewer collection alternatives
- Preparation of draft memorandum and accompanying figures

At the conclusion of this reporting/invoicing period, we have expended 41 percent of the overall not to exceed amount of \$14,500. Please contact me if you have any questions regarding this invoice at 457-0347.

Very truly yours,

Matthew Pitta, P.E.  
 Project Manager  
 CDM Smith

Attachment

cc: Kevin Johnson, CDM Smith



260 West Exchange Street, Suite 300  
 Providence, RI 02903  
 tel: 401-751-5360  
 fax: 401-274-2173

PELL  MT. HOPE  DATE 6/20  
 CONTRACT 12-98 ACCT. 100 M040  
 REQUESTED \$ 16,453.13  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ 16,453.13 CK. NO. \_\_\_\_\_  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

June 25, 2014

Mr. Eric Offenberg, P.E.  
 Director of Engineering  
 Rhode Island Turnpike and Bridge Authority  
 One East Shore Road  
 Jamestown, Rhode Island 02835

CONTRACT 12-98

Subject: Invoice No.2 for Pell Bridge Traffic and Safety Evaluation Task Order #2

20-52060-100

Dear Mr. Offenberg:

Please find the enclosed Invoice No. 2 for traffic engineering services associated with the Pell Bridge Traffic and Safety Evaluation task order. This invoice for \$16,453.13 reflects services performed from March 2 through June 24, 2014. Work product completed thus far includes:

- Traffic data collection
- Attendance at meetings

At the conclusion of this reporting/invoicing period, we have expended 100 percent of the overall not to exceed amount of \$137,700. Please contact me if you have any questions regarding this invoice at (401) 457-0366.

Very truly yours,

Lisa Sherman, P.E., PTOE  
 Project Manager  
 CDM Smith

Attachment

cc: Kevin Johnson, CDM Smith

*Handwritten notes:*  
 Approved 6/30/14  
 [Signature]



260 West Exchange Street, Suite 300  
 Providence, RI 02903  
 tel: 401-751-5360  
 fax: 401-274-2173

PLLE <input type="checkbox"/>	MT. HOPE <input type="checkbox"/>	DATE	6/30/14
CONTRACT	12-98	ACCT.	100 M040
REQUESTED	\$	2821.06	
RETAINED	\$		
NET PAYMENT	\$		
APPROVED:	\$	1.	
EXECUTIVE DIRECTOR			CK. NO. 3169

June 25, 2014

Mr. Eric Offenberg, P.E.  
 Director of Engineering  
 Rhode Island Turnpike and Bridge Authority  
 One East Shore Road  
 Jamestown, Rhode Island 02835

CONTRACT 12-98  
 #

Subject: Invoice No.2 for General Consultation and Engineering Services

20-52060-100

Dear Mr. Offenberg:

Please find the enclosed Invoice No. 2 for engineering services associated with the Task Order #1 - General Consultation and Engineering Services. This invoice for \$2,821.06 reflects services performed from March 2, 2014 through June 21, 2014. Tasks completed thus far include:

- Attendance at meetings
- General project management
- Preparation of documents for the Mount Hope Bridge Protective Coating and Rehabilitation

At the conclusion of this reporting/invoicing period, we have expended 17 percent of the overall not to exceed amount of \$45,000. Please contact me if you have any questions regarding this invoice at (401) 457-0366.

Very truly yours,

Lisa Sherman, P.E., PTOE  
 Project Manager  
 CDM Smith

Attachment

cc: Kevin Johnson, CDM Smith





**Cardno  
ATC**

**Shaping the Future**

RECEIVED JUN 30 2014

Eric Offenberg  
Rhode Island Turnpike & Bridge Auth  
PO Box 437  
Jamestown, RI 02835

Project: Mount Hope Bridge-Bristol, RI-SIR  
Project Manager: Stephen Gautie

Per MPA 309

301.36096.0006

Mount Hope Bridge, Bristol RI

June 27, 2014  
Project No: 301.36096.1006  
Invoice No: 1824426  
ATC REF: ATC:NE:301:EM  
Page: 1

PELL  MT. HOPE  DATE 6/30/14  
CONTRACT N/A ACCT. M040  
REQUESTED \$ 5,149.89  
RETAINED \$ 0.00  
NET PAYMENT \$ 5,149.89  
APPROVED: \_\_\_\_\_ CK. NO. 3170  
EXECUTIVE DIRECTOR

*APPROVED*  
*6/30/14*  
*Al*

PROJECT CODE = NONE

Proposal 301.2013.0021 Revised	Budgeted Amount	This Invoice	Total Invoiced
			To Date
Release Notification	\$830.00	\$0.00	\$678.75
Health and Safety Plan	\$700.00	\$0.00	\$695.00
Property Plans / Access Agreements	\$1,760.00	\$0.00	\$1,716.45
Private Property Owner Meetings	\$2,200.00	\$0.00	\$965.00
Sampling Plan / RIDEM Meetings	\$3,900.00	\$0.00	\$3,254.95
Sampling and XRF Field Screening for Lead	\$10,000.00	\$3,492.09	\$8,281.07
Laboratory Analyses	\$760.00	\$381.50	\$381.50
Site Investigation Report and Checklist	\$4,100.00	\$113.75	\$113.75
Pre and Post-SIR Abutter Notifications	\$1,300.00	\$0.00	\$875.00
Contingency, 10%	\$2,550.00	\$0.00	\$0.00
Alumni House Sampling/Analytical	\$1,650.00	\$1,162.55	\$0.00
<b>TOTALS:</b>	<b>\$29,750.00</b>	<b>\$5,149.89</b>	<b>\$16,961.47</b>

20-56001-300

Please Pay This Amount \$5,149.89

Please remit payment to: Cardno ATC, Dept. 3263-PO Box 123263, Dallas, TX 75312-3263



Shaping the Future

Rhode Island Turnpike & Bridge Authority  
Attn: Mr. Eric Offenberg  
PO Box 437

Jamestown, RI 02835

PELL  MT. HOPE  DATE \_\_\_\_\_  
 CONTRACT \_\_\_\_\_ ACCT. \_\_\_\_\_  
 REQUESTED \$ \_\_\_\_\_  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ \_\_\_\_\_  
 APPROVED: \_\_\_\_\_  
**REMITTANCE COPY - M040**  
 Send with Payment to:  
 Cardno ATC, CK. NO. \_\_\_\_\_  
 Dept. 3263 - PO Box 123263  
 Dallas, TX 75312-3263  
 EXECUTIVE DIRECTOR

PELL  MT. HOPE  DATE 6/30  
 CONTRACT N/A ACCT. \_\_\_\_\_  
 REQUESTED \$ 5,559.18  
 RETAINED \$ \_\_\_\_\_  
 NET PAYMENT \$ 5,559.18 CK. NO. 3170  
 APPROVED: \_\_\_\_\_  
 EXECUTIVE DIRECTOR

Proj Code = NONE

Project Number: 301.36096.1006

Invoice Date: May 22, 2014

Project Name: Mount Hope Bridge-Bristol, RI-SIR

Invoice Number: 0001818700

Please Pay This Amount: \$5,559.18

For Overnight or Special Delivery, Please Remit to:

Cardno ATC (972-680-1900)  
Dept 3263 - PO Box 123263  
1501 North Plano Rd  
Richardson, TX 75081-5044

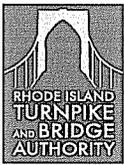
APPROVED  
6/30/14  
*[Signature]*

For Information Regarding Credit Card  
or Wire Transfer Payments, Please  
Contact Corporate Accounts Receivable  
at (337) 234-8777

*[Signature]*  
BACKUP

20-56001-300

Please remit payment to: Cardno ATC, Dept. 3263-PO Box 123263, Dallas, TX 75312-3263



# RHODE ISLAND Turnpike and Bridge Authority

## Board Agenda Item Tracking System

<b>AGENDA ITEM</b>  7	<b>DATE PREPARED:</b> July 1, 2014	<b>SUBJECT:</b> RITBA Commercial Insurance Program
<b>FOR THE MEETING OF:</b> July 9, 2014		<b>PREPARED BY:</b> Nancy E. Parrillo

**SUMMARY DESCRIPTION:**  
 I recommend that that the Board vote to approve in the affirmative:  
 To award the Commercial Insurance Program:

The RFP was designed to allow potential brokers to bid on both the Property/Liability insurance for the Newport Pell & Mt Hope Bridges as well as the General Liability/Umbrella etc. pieces of the insurance program to ensure proper & adequate coverage while minimizing the cost to the Authority. This is a 3-year contract for the property coverage and 2 year contract for the balance of the coverages.

3 responders: Risk Strategies, Starkweather & Shepley, Eastern Insurance

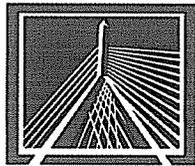
See the attached matrix for details. The result is as follows:  
 Property : \$527,637 for 3 years (Risk Strategies) vs. \$649,625 (Eastern)  
 General liability/Umbrella, etc. : \$273,274 yr. 1; \$265,921 yr. 2 (Starkweather)

This would be a total fiscal impact of \$801,000 per year for the first 2 years, the property cost would be constant in yr. 3.

**Financial Effect APPROX. \$801,000 FOR FIRST 2 YEARS**

*Instructions:* The individual named at the top of this page as "preparer" indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	EXECUTIVE DIRECTOR	INITIALS	DATE	BOARD ACTION:
	<b>EXECUTIVE DIRECTOR</b> <i>EARL J. CROFT III</i>			<b>___ TABLED: UNTIL _____</b>  <b>___ DISCUSSED:</b> <i>Action Taken:</i>  VOTE TAKEN: ___ YES ___ NO  APPROVED: ___ YES ___ NO  <b>___ RATIFIED</b>
√	<b>FINANCE</b> <i>NANCY E. PARRILLO</i>	<i>MEP</i>	7/2/14	
	<b>ENGINEERING</b> <i>ERIC OFFENBERG P.E.</i>			
	<b>OPERATIONS</b> <i>JAMES SWANBERG</i>			
	<b>MAINTENANCE</b> <i>JAMES ROMANO</i>			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			
	<b>OTHER (SPECIFY)</b>			



**B O S T O N I A N**  
**G R O U P**

a Marsh & McLennan Agency Company

Rhode Island Turnpike & Bridge Authority  
1 East Shore Road  
P.O. Box 437  
Jamestown, MA 02835

July 3, 2014

**RE: Insurance Procurement Process**

Buddy and Nancy,

We conducted a formal RFP and insurance procurement process for RITBA's 2014-15 policy period. The process was initiated in early May and was advertised on RIVIP and on RITBA's website in mid-May and was open to all interested agents and brokers. Interested brokers were required to send broker information forms to the consultant no later than May 23<sup>rd</sup> in order to screen the broker's basic ability to respond to the bid specifications with appropriate insurance markets. Five brokers responded:

- Risk Strategies (incumbent broker on Bridge property)
- Starkweather & Shepley (incumbent broker on all other lines of insurance coverage)
- HUB
- Gencorp
- Eastern Insurance

All five brokers were allowed to participate in the process and each was sent a market request form which required them to list their carriers they would like to utilize for each line of coverage and to provide the amount of business (in premium dollars) with each of these carriers. This information was received by June 5<sup>th</sup> and was used to decide who to assign which markets. Incumbent markets (those used for last year's coverage) were automatically assigned to the two incumbent brokers as is usual and customary in this process.

The RFP, insurance specifications for each line of coverage, underwriting data, and market assignments were sent to the five brokers on June 9<sup>th</sup> with final proposals were due on July 27<sup>th</sup> at 5:00pm. Following the issuance of the RFP

and specifications, the consultant provided answers to any and all broker questions and fulfilled requests for additional underwriting information.

We received all proposals by June 27<sup>th</sup> and reviewed them immediately. In the end, we received only two proposals on the Bridge Property – one from Risk Strategies and the other from Eastern Insurance. Risk Strategies came in with pricing just slightly higher than their premium three years ago, all of the requested limits and coverage requested, and, once again, a 3 year rate guarantee contract. Eastern was only able to get their markets to provide \$100M in total limits but not the required \$250M Maximum Probable Loss limit; however, even their pricing on the \$100M was considerably higher than Risk Strategies' pricing at \$250M.

As far as the remainder of the coverage, only the incumbent, Starkweather & Shepley, provided a complete quote. Gencorp did provide a Commercial Auto quote, although it was a few thousand dollars higher than the S&S' quote; however, none of the other brokers provided quotes on any of the non-bridge property coverage lines.

In the end, we recommend remaining with the two incumbent brokers - Risk Strategies and Starkweather & Shepley). We believe that the pricing they provided is extremely competitive and that the coverage is broad and appropriate to cover the Authority's business and operational exposures.

Sincerely,

Bill Granahan  
Senior Vice President  
MMA

**RITBA INSURANCE PROCUREMENT PROCESS  
2014 – 2015 PROGRAM  
PROPOSED TIMELINE**

**May 12 – May 16:**

- ✓ Conference all with Executive Director and CFO to discuss procurement process and goals
- ✓ Calls to incumbent brokers (Risk Strategies and Starkweather & Shepley) to review current insurance program: coverage terms/conditions; limits; retentions; etc.
- ✓ Develop Bid Specifications
- ✓ Gather underwriting data:
  - ◆ Financials
  - ◆ Bridge/Property Values
  - ◆ Engineering Reports to support values
  - ◆ U & O information
  - ◆ Auto Schedule
  - ◆ Payroll information

**May 16:** Place notice on RIVIP and on RITBA website

**May 19 - 23:**

- ✓ Send Broker Information Forms to Brokers
- ✓ Select Broker “finalists” from respondents

**June 7:**

- ✓ Issue RFP, Bid Specifications, and Market Assignments to brokers

**June 7 - June 20:**

- ✓ Respond to Broker Questions/provide additional data as requested.

**June 27:**

- ✓ Proposals due from Brokers and their assigned markets

**June 27 to 30:**

- ✓ Review, analyze and compare proposals

**July 3:**

- ✓ Provide analysis of proposals and recommendation to Executive Director

## FORMAL INSURANCE PROCUREMENT PROCESS

The goal of an insurance procurement and RFP process is to effectively solicit and receive the most comprehensive insurance proposals, based on tailored coverage and limit requirements, at the most competitive rates available in the marketplace. As such, a formal insurance procurement process must include the provision of a set of carefully prepared insurance specifications, normally structured by an independent insurance professional, that are based on a thorough review and/or knowledge of the specific business and operational exposures of the client – as well as their current insurance program. The specifications assure that the proposers will meet or exceed the coverage, limits and policy terms/conditions.

Typically no more than three or four brokers are pre-selected to participate in the process depending on the insured's industry and market availability. Having multiple brokers assures the "competitive" nature of the process while not saturating the marketplace with too many submissions to too many insurers. The assignment of insurance markets to each of the selected brokers also guarantees that all "appropriate" insurance markets companies will be approached.

In order to select appropriate brokers for the process, a list of five, six or more may be selected initially. Each is asked to submit information on their firm such as the brokerage's total premium/revenue, number of commercial accounts, number of clients in the same industry as the insured, the team that would be assigned to the account, the professional education/designations of that team and other information that can be used to select the final three or four brokers.

Once the brokers are selected, each is asked to request insurance markets for each line of coverage in order of preference and to indicate the amount of premium written with each of companies requested. Market assignments are

made by the coordinating consultant based on those responses primarily based on the number of commercial clients and premium written with the requested markets. The coordinating consultant then provides the market assignments to each broker along with the Request for Proposal instructions, the line-by-line insurance specifications and the necessary underwriting data (coverage, limits, claims history, financials, property values, autos, etc.). The RFP instructions include appropriate contact persons; due dates for the proposals; as well as other specific requirements inherent to the process. All questions and requests regarding the specifications and underwriting data are handled directly by the coordinating consultant.

Once the proposals are received from the brokers on the date specified, a full review of each proposal is conducted by the coordinating consultant. An analysis of the pricing and coverage is provided to the client, including a comparison of the proposed programs to the specifications and the current program – including, of course, rates and premiums. The “financial stability” of the proposed insurers is also considered along with the services and professionalism of each of the proposing brokers.

Final report includes a recommendation by the coordinating consultant and supporting data for that recommendation.

## NON-BRIDGE PREMIUM QUOTES - 2014

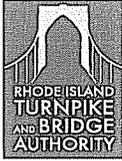
POLICY	LIMITS	S&S 2013-14	S&S 2014-15	RISK STRATEGIES NO QUOTE	HUB NO QUOTE	EASTERN NO QUOTE	GENCORP NO QUOTE
GENERAL LIABILITY	\$1M Per Occ. \$3M Aggregate	\$41,108*	\$53,550				
PROPERTY	\$9,965,000 \$1M FL/EQ	\$82,767	\$59,533				
CRIME	\$500K	\$1,835	\$1,841				
MANAGEMENT LIABILITY (\$25M TOTAL)	\$10M \$10M X \$10M \$5M X \$20M	\$35,848 \$23,301 \$ 10,500	\$39,034 \$24,787 \$10,500				
FIDUCIARY	\$1M	\$1,905	\$2,000				
AUTO	\$1M	\$8,315	\$9,016				
UMBRELLA (\$35M TOTAL)	\$25M \$10M X \$25M	\$50,960* \$16,640	\$49,000* \$16,640				
TOTALS		\$273,274	\$265,921				

**RITBA FINAL BRIDGE PROPERTY PROPOSALS 2014 -15**

<b>BROKERS</b>	<b>Risk Strategies - 2013 - 14</b>	<b>Risk Strategies (2014)</b>	<b>Starkweather &amp; Shepley</b>	<b>EASTERN INS.</b>	<b>HUB/BUCCI</b>	<b>GENCORP</b>
<b>INSURERS</b>	<b>Zurich (\$150M) Hiscox (\$100M)</b>	<b>ZURICH (\$250M)</b>	<b>NOT QUOTED</b>	<b>Swiss Re &amp; Starr Specialty</b>	<b>NOT QUOTED</b>	<b>NOT QUOTED</b>
<b>COVERAGE</b>	<b>LIMITS</b>	<b>LIMITS</b>	<b>NOT QUOTED</b>	<b>LIMITS</b>	<b>NOT QUOTED</b>	<b>NOT QUOTED</b>
<b>MAX PROB LOSS</b>	\$250,000,000	\$250,000,000		\$100,000,000		
<b>TOT INS. VALUES</b>	\$630,480,000	\$722,300,000		\$627,480,000		
<b>FLOOD, EQ, WIND</b>	\$250,000,000	\$250,000,000		\$100,000,000		
<b>LOSS of REVENUE</b>	\$51,000,000	\$57,000,000		\$33,000,000		
<b>CONTINGENT LOR</b>	\$19,000,000	\$19,000,000		\$11,000,000		
<b>DEBRIS REMOVAL</b>	\$5,000,000	\$25% of TIV - \$1M max		\$25% of TIV - \$1M max		
<b>INGRESS/EGRESS</b>	\$10,000,000 (10 days)	\$10,000,000 (10 days)		\$10,000,000 (10 days)		
<b>CIVIL AUTHORITY</b>	\$10,000,000 (10 days)	\$10,000,000 (10 days)		\$10,000,000 (10 days)		
<b>Deductible</b>	\$10,000,000	\$10,000,000		\$10,000,000		
	10 days - loss of income	10 days - loss of income		10 days - loss of income		
<b>PREMIUM</b>	\$527,637	\$532,488		\$649,625		

\*plus 4% premium tax

\*3 rate guarantee based on 20% loss ratio



## RHODE ISLAND Turnpike and Bridge Authority

### Board Agenda Item Tracking System

<b>AGENDA ITEM</b> 8	<b>DATE PREPARED:</b> July 2, 2014	<b>SUBJECT:</b> PCI PENETRATION TESTING SERVICES
<b>FOR THE MEETING OF:</b> July 9, 2014		<b>PREPARED BY:</b> James Swanberg

**SUMMARY DESCRIPTION:**

Request the Board's consideration in the awarding of RFP 14-6 "PCI Penetration Testing Services". The RFP is to seek the services of a vendor to perform penetration testing of the RITBA information technology network so as to meet PCI compliance for this year.

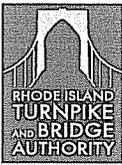
There were five (5) bids received ranging in price from \$28,900.00 to \$10,000.00. The bids were rated on the following criteria to get the best value; price, references and quality of proposal.

The bid from Megaplan-IT had the best value at a cost of \$17,250.00 which was the second lowest bid price received.

**Financial Effect :**  
**MAXIMUM \$ 28,900.00 – MINIMUM \$10,000.00**

*Instructions:* The individual named at the top of this page as "preparer" indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	INITIALS	DATE	BOARD ACTION:
EXECUTIVE DIRECTOR <i>EARL J. CROFT III</i>			<p><u>    </u> <b>TABLED:</b> UNTIL _____</p> <p><u>    </u> <b>DISCUSSED:</b>  <i>Action Taken:</i></p> <p>VOTE TAKEN:   <u>    </u> YES       <u>    </u> NO</p> <p>APPROVED:     <u>    </u> YES       <u>    </u> NO</p> <p><u>    </u> <b>RATIFIED</b></p>
FINANCE <i>NANCY E. PARRILLO</i>			
ENGINEERING <i>ERIC OFFENBERG</i>			
PLAZA OPERATIONS SAFETY & SECURITY <i>JIM SWANBERG</i>	<i>JES</i>	<i>7/2/14</i>	
MAINTENANCE <i>JAMES ROMANO</i>			
PROCUREMENT			
OTHER (SPECIFY)			



# RHODE ISLAND Turnpike and Bridge Authority

## Board Agenda Item Tracking System

<b>AGENDA ITEM</b>  <span style="font-size: 2em; font-family: cursive;">9</span>	<b>DATE PREPARED:</b> July 2, 2014	<b>SUBJECT:</b> FY2015 Annual Budget
<b>FOR THE MEETING OF:</b> July 9, 2014		<b>PREPARED BY:</b> Nancy E. Parrillo
<b>SUBJECT:</b>  Proposed FY2015 Annual Budget		

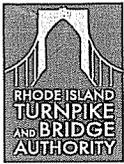
**Financial Effect:** N/A

*Instructions:* The individual named at the top of this page as “preparer” indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	EXECUTIVE DIRECTOR <i>EARL J. CROFT III</i>	INITIALS	DATE	BOARD ACTION:
√	<b>FINANCE</b> <i>NANCY E. PARRILLO</i>	<i>nep</i>	7/2/14	<p>___ <b>TABLED:</b> UNTIL _____</p> <p>___ <b>DISCUSSED:</b> <i>Action Taken:</i></p> <p style="padding-left: 40px;">VOTE TAKEN: ___ YES ___ NO</p> <p style="padding-left: 40px;">APPROVED: ___ YES ___ NO</p> <p>___ <b>RATIFIED</b></p>
	<b>ENGINEERING</b> <i>ERIC OFFENBERG</i>			
	<b>PLAZA OPERATIONS SAFETY &amp; SECURITY</b> <i>JIM SWANBERG</i>			
	<b>MAINTENANCE</b> <i>JAMES ROMANO</i>			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			
	<b>OTHER (SPECIFY)</b>			

**Rhode Island Turnpike and Bridge Authority  
Fiscal 2015 Budget**

	Proposed Budget - 6/2014 FY2015	REVISED Budget - 6/2013 FY2014	APPROVED Budget - 6/2013 FY2014	Budget FY2013	Budget FY2012	Budget FY2011	Budget FY2010
<b>Revenue Fund</b>							
Tolls	31,334,856.33	19,400,000.00	37,100,000.00	18,300,000.00	18,000,000.00	18,900,000.00	13,500,555
Interest	500,000.00	850,000.00	850,000.00	50,000.00	50,000	-	125
Transporter, Statement, & Other Revenue	865,000.00	1,180,000.00	2,914,000.00	460,000.00	273,500	12,000	12,000
<b>Net Revenue (Expenditures) - Revenue Fund</b>	<b>32,699,856.33</b>	<b>21,430,000.00</b>	<b>40,864,000.00</b>	<b>18,810,000.00</b>	<b>18,323,500</b>	<b>18,912,000</b>	<b>13,512,650</b>
<b>Operation and Maintenance Fund</b>							
Payroll	3,409,867.56	3,140,364.12	1,946,198.68	2,351,156.48	2,378,138.81	2,218,241	2,152,282
Employee benefits and payroll taxes	892,427.80	670,582.08	586,281.88	717,252.87	769,428.19	704,869	654,211
	4,302,295.36	3,810,946.20	2,532,480.56	3,068,409.35	3,147,567.00	2,923,110	2,806,494
Insurance	918,944.00	849,927.25	2,709,927.25	850,690.28	944,550.00	929,425	979,770
Professional	286,500.00	400,500.00	400,000.00	327,000.00	248,000.00	410,750	264,000
Maintenance	150,000.00	170,036.29	151,674.29	290,000.00	140,000.00	380,000	244,500
Toll Equipment & Maintenance	913,824.15	750,000.00	843,000.00	190,800.00	210,000.00	217,550	35,000
Utilities	185,000.00	190,000.00	265,000.00	130,000.00	135,000.00	150,000	160,000
Advertising, Printing and Marketing	215,000.00	470,000.00	480,000.00	98,000.00	65,000.00	78,000	78,000
Dues & Subscriptions	62,500.00	81,000.00	81,000.00	74,900.00	67,400.00	45,250	24,350
Telephone, Cable, IT Data, Office	536,421.76	310,000.00	395,000.00	64,000.00	44,000.00	75,000	20,000
Travel and Meetings	12,000.00	30,550.00	30,550.00	15,000.00	15,000.00	15,000	20,000
Motor Vehicles Maintenance	81,362.00	65,000.00	85,000.00	28,000.00	18,000.00	20,000	18,000
Safety & Security	149,924.00	130,000.00	145,000.00	119,500.00	189,000.00	-	-
ETC fees, transporters, & postage	2,640,700.00	1,347,000.00	1,583,800.00	964,800.00	1,319,670	1,127,359	842,268
Host Community	28,000.00	27,500.00	27,500.00	28,000.00	27,500.00	27,000	27,500
Contingency reserve	327,000.00	412,610.00	725,830.00	100,000.00	120,000.00	120,000	100,000
Compliance Expense	-	125,000.00	250,000.00	-	-	-	-
Settlement Expense	868,272.00	206,000.00	153,000.00	20,000.00	27,000.00	20,000	40,000
Miscellaneous	84,000.00	5,565,123.54	8,326,281.54	3,300,690.28	3,570,120	3,615,334	2,905,388
sub-total, operations and maintenance	7,459,447.90	5,565,123.54	8,326,281.54	3,300,690.28	3,570,120	3,615,334	2,905,388
<b>Net Revenue (Expenditures) - O&amp;M Fund</b>	<b>11,761,743.27</b>	<b>9,376,069.74</b>	<b>10,858,742.10</b>	<b>6,369,099.63</b>	<b>6,717,687</b>	<b>6,538,445</b>	<b>5,711,882</b>
<b>Debt Service Funds</b>							
Interest Revenue	250,000.00	270,000.00	392,498.00	662,498.00	896,691.50	990,309	866,073
Interest Expense - 2013 BANS	531,983.50	392,498.00	2,595,000.00	2,595,000.00	2,370,000.00	2,328,333	2,232,500
Provided through operations for 2003A bond interest	3,019,719.75	2,595,000.00	2,387,400.00	2,387,400.00	2,387,400.00	2,680,299	2,282,500
Provided through operations for 2003A bond principal	2,387,400.00	2,387,400.00	5,374,898.00	5,644,898.00	5,654,091.50	5,998,941	3,314,825
Provided through operations for 2010A bond interest	6,189,103.25	5,644,898.00	5,374,898.00	5,644,898.00	5,654,091.50	5,998,941	3,314,825
<b>Net Revenue (Expenditures) - Debt Service</b>	<b>6,189,103.25</b>	<b>5,644,898.00</b>	<b>5,374,898.00</b>	<b>5,644,898.00</b>	<b>5,654,091.50</b>	<b>5,998,941</b>	<b>3,314,825</b>
<b>O&amp;M Reserve Fund</b>							
Required amount	2,352,348.65	1,876,213.95	2,171,748.42	1,273,819.93	1,084,603	1,307,689	1,142,376
Current balance in O&M Reserve Fund	1,130,587.77	1,086,021.12	1,086,021.12	1,184,959.34	1,176,962	975,822	975,673
Contribution to O&M Reserve Fund	1,221,760.88	779,192.83	1,075,727.30	88,860.59	(92,358)	331,867	166,703
<b>Transfer to R &amp; R</b>	<b>13,527,248.93</b>	<b>5,629,839.43</b>	<b>23,554,632.60</b>	<b>6,707,141.78</b>	<b>6,044,080.42</b>	<b>6,042,747.32</b>	<b>4,319,270.01</b>
<b>Net Revenues over Expenditures</b>							



# RHODE ISLAND Turnpike and Bridge Authority

## Board Agenda Item Tracking System

<b>AGENDA ITEM</b>	<b>DATE PREPARED:</b> July 1, 2014	<b>SUBJECT:</b> FY 2014 YTD May Financial Package
--------------------	---------------------------------------	--

<b>FOR THE MEETING OF:</b> July 9, 2014	<b>PREPARED BY:</b> Nancy E. Parrillo
--	--

**SUMMARY DESCRIPTION:**

May 2014 MTD / YTD Financial Report

Traffic and Revenue Reports

**Financial Effect:** N/A

*Instructions:* The individual named at the top of this page as “preparer” indicates in boxes below which individuals and departments are to review and approve this document and its corresponding support (if applicable) prior to distribution to Board members. Then, each individual places his or her initials and date in the appropriate space in evidence of their review.

ROUTING	EXECUTIVE DIRECTOR <i>EARL J. CROFT III</i>	INITIALS	DATE	BOARD ACTION:
√	<b>FINANCE</b> <i>NANCY E. PARRILLO</i>	<i>NEP</i>	<i>7/1/14</i>	<p><input type="checkbox"/> <b>TABLED: UNTIL</b> _____</p> <p><input type="checkbox"/> <b>DISCUSSED:</b> <i>Action Taken:</i></p> <p style="text-align: right;">VOTE TAKEN:    <input type="checkbox"/> <b>YES</b>    <input type="checkbox"/> <b>NO</b></p> <p style="text-align: right;">APPROVED:      <input type="checkbox"/> <b>YES</b>      <input type="checkbox"/> <b>NO</b></p> <p><input type="checkbox"/> <b>RATIFIED</b></p>
	<b>ENGINEERING</b> <i>ERIC OFFENBERG P.E.</i>			
	<b>OPERATIONS</b> <i>JAMES SWANBERG</i>			
	<b>MAINTENANCE</b> <i>JAMES ROMANO</i>			
	<b>PROCUREMENT</b>			
	<b>OTHER (SPECIFY)</b>			
	<b>OTHER (SPECIFY)</b>			

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
Statement of Operations  
For the Eleven Months Ending Saturday, May 31, 2014

	Month of May	YTD as of 05/31/2014	YTD Budget 05/31/2014	Percent of Budget Completed
<b>Revenue</b>				
Toll Revenue	\$1,738,002.22	\$17,492,699.73	17,783,333.33	98.37%
Transponder Revenue	40,111.34	622,881.79	320,833.33	194.14%
Interest	111,245.76	549,058.54	779,166.63	70.47%
Miscellaneous Revenue		515,790.93	687,500.00	75.02%
Statement and Bank Fees	7,795.00	66,110.40	73,333.33	90.15%
<b>Total Operating Revenues</b>	<b>1,897,154.32</b>	<b>19,246,541.39</b>	<b>19,644,166.63</b>	<b>97.98%</b>
<b>Operating Expenses</b>				
Wages	220,106.62	2,574,947.79	2,684,180.62	95.93%
Vacation and Sick Pay				
OASDI tax	16,289.88	290,671.49	229,775.37	126.50%
Unemployment tax	5,164.73	58,003.96		0.00%
Health and Dental Insurance	34,557.83	340,879.90	229,775.37	148.35%
Life Insurance	5,777.73	14,682.53	30,086.98	48.80%
Pension	15,967.33	126,882.42	157,956.37	80.33%
<b>Salaries and Wages</b>	<b>297,864.12</b>	<b>3,406,068.09</b>	<b>3,331,774.71</b>	<b>102.23%</b>
Telephone / ITData	40,412.10	419,797.88	233,750.00	179.59%
Office / Postage	12,349.02	120,574.99	107,250.00	112.42%
Advertising expense	11,563.10	172,810.49	430,833.37	40.11%
Travel and Entertainment	1,382.59	8,520.17	28,004.13	30.42%
Dues	3,031.33	19,979.32	73,333.37	27.24%
Subscriptions and Misc.		1,885.25	916.63	205.67%
Legal Fees	5,100.00	201,304.66	229,166.63	87.84%
Audit Fee		39,771.00	16,041.63	247.92%
Professional		61,377.34	115,041.63	53.35%
Safety	20,777.95	122,169.96	119,166.63	102.52%
Trustee Fees	679.17	6,876.09	6,875.00	100.02%
Bank Fees	6,687.77	75,728.75	4,583.37	1652.25%
Insurance - Property	57,034.83	603,040.95	488,391.97	123.47%
Insurance - Other	18,940.29	224,116.50	290,708.00	77.09%
Utilities	13,126.94	142,018.63	174,166.63	81.54%
Event Expense	2,000.00	232,749.89		
ETC Expense	254,221.77	2,477,531.19	1,003,750.00	246.83%
Transponder Expense	15,277.65	299,762.35	174,166.63	172.11%
Toll Equipment Maintenance	18,071.69	195,222.34	366,666.74	53.24%
IT Equipment Maintenance	5,208.08	86,913.60	183,333.37	47.41%
Uniforms	1,370.32	4,675.70	16,831.87	27.78%
Vehicle Maintenance	5,515.35	56,044.91	59,583.37	94.06%
Electrical Contractor	9,904.80	99,008.00	68,750.00	144.01%
Bridge Maintenance		538.06	114,583.37	0.47%
Maintenance and Supplies	150,293.55	544,932.70	193,224.13	282.02%
Miscellaneous expense	663.73	81,787.55	2,750.00	2974.09%
Settlement Expense				
Contingency reserve		30,000.00	378,225.87	7.93%
Host Community		27,500.00	25,208.37	109.09%
<b>Operating Expenses</b>	<b>653,612.03</b>	<b>6,356,638.27</b>	<b>4,905,302.71</b>	<b>129.59%</b>
Interest Expense	261,108.07	2,855,161.45	3,043,239.87	93.82%
Cost of Issuance Amortization				0.00%
Bond Discount Amortization	2,397.13	26,368.43		0.00%
Environmental Remediation		3,769.02		0.00%
<b>Total Non-Operating Expenses</b>	<b>263,505.20</b>	<b>2,885,298.90</b>	<b>3,043,239.87</b>	<b>94.81%</b>
<b>Total Expenses</b>	<b>1,214,981.35</b>	<b>12,648,005.26</b>	<b>11,280,317.29</b>	<b>112.12%</b>
<b>Net Income</b>	<b>\$682,172.97</b>	<b>\$6,598,536.13</b>	<b>\$8,363,849.34</b>	<b>78.89%</b>

Rhode Island Turnpike and Bridge Authority  
Cash and Investments Summary  
May 31, 2014

As of May 31, 2014

	Cash and Cash Equiv.*	Investments	Total	Total @4/30/14	Change
Operations and Maintenance Fund	\$ 140,717		\$ 140,717	\$ 166,460	(25,743)
O&M Reserve Fund		1,110,590	1,110,590	1,103,734	6,856
Renewal and Replacement Fund	134,943	9,226,699	9,361,642	9,263,314	98,328
Revenue Fund	34,553		34,553	30,173	4,380
Violations Account	41,828		41,828	42,027	(199)
Toll Revenue Fund	639,034		639,034	208,809	430,225
EZ Pass Fund	4,055,981	1,009,313	5,065,294	4,809,672	255,622
General Fund	1,607		1,607	1,607	(0)
Health Benefits Account	15,195		15,195	8,052	7,143
Insurance Reserve Fund	1,587,915		1,587,915	1,587,901	14
2003A Debt Service Principal		1,361,697	1,361,697	1,135,022	226,675
2003A Debt Service Interest		309,662	309,662	259,707	49,955
2003A Debt Service Reserve		4,483,976	4,483,976	4,375,843	108,133
2010A Debt Service Principal		-	-	-	-
2010A Debt Service Interest		1,195,706	1,195,706	996,718	198,988
2010A Debt Service Reserve		3,715,250	3,715,250	3,715,250	-
2013A Cost of Issuance		-	-	-	-
2013A Debt Service Principal		-	-	-	-
2013A Debt Service Interest		-	-	-	-
2013A Project Fund		4,767,301	4,767,301	5,735,340	(968,039)
2010A Construction Fund		-	-	-	-
Total	\$ 6,651,772	\$ 27,180,196	\$ 33,831,968	\$ 33,439,629	392,339

\* Cash equivalents consist of short-term, liquid investments such as repurchase agreements ("repos"), money market funds, and certificates of deposit:

Repurchase agreements are essentially loans to the entity from which they are purchased. By investing in repos with Citizens Bank, R.I.T.B.A. is, in actuality, loaning funds to the bank and receiving securities in return as collateral for the loan. In this position, R.I.T.B.A. actually holds a reverse repo, or the opposite side of a repurchase agreement. The Bank holds the repo; that is, the agreement to repurchase the security held temporarily by the R.I.T.B.A.

The money market is a subsection of the fixed income market, as is the bond market. The notable difference between the bond and money markets is the short-term nature of the money market. Money market securities have maturities of less than one year and are essentially IOUs from government entities, financial institutions, and corporations. Due to their extreme liquidity, money market funds are considered to be cash for financial reporting purposes.



**RI TURNPIKE & BRIDGE AUTHORITY**  
**FY2014 Traffic & Revenue Analysis**

TD Traffic Analysis

Month	Cash Traffic	NEWPORT BRIDGE		SAKONNET BRIDGE		TOTAL Traffic	%age breakdown to TOTAL traffic				
		RI ETC Traffic	OOS ETC Traffic	RI ETC Traffic	OOS ETC Traffic		% Cash	NEWPORT BRIDGE		SAKONNET BRIDGE	
								% RI ETC	% OOS ETC	% RI ETC	% OOS ETC
July	197,990	719,592	132,097	-	-	1,049,679	18.86%	68.55%	12.58%	-	-
August	203,238	722,428	195,524	241,578	61,038	1,423,806	14.27%	50.74%	13.73%	16.97%	4.29%
September	148,531	663,151	111,830	559,934	121,997	1,605,443	9.25%	41.31%	6.97%	34.88%	7.60%
October	158,812	699,405	95,464	686,776	137,883	1,778,340	8.93%	39.33%	5.37%	38.62%	7.75%
November	112,474	640,435	65,823	599,431	99,940	1,518,103	7.41%	42.19%	4.34%	39.49%	6.58%
December	86,600	607,538	60,160	584,323	110,886	1,449,507	5.97%	41.91%	4.15%	40.31%	7.65%
January	69,611	576,631	47,159	592,024	94,460	1,379,885	5.04%	41.79%	3.42%	42.90%	6.85%
February	73,872	536,941	46,310	525,052	91,496	1,273,671	5.80%	42.16%	3.64%	41.22%	7.18%
March	93,754	630,261	62,562	626,891	121,993	1,535,461	6.11%	41.05%	4.07%	40.83%	7.95%
April	108,678	664,933	80,591	667,201	151,049	1,672,452	6.50%	39.76%	4.82%	39.89%	9.03%
May	141,323	708,620	109,811	711,059	164,629	1,835,442	7.70%	38.61%	5.98%	38.74%	8.97%
June	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	<b>1,394,883</b>	<b>7,169,935</b>	<b>1,007,331</b>	<b>5,794,269</b>	<b>1,155,371</b>	<b>16,521,789</b>	<b>8.44%</b>	<b>43.40%</b>	<b>6.10%</b>	<b>35.07%</b>	<b>6.99%</b>
<b>COMMERCIAL</b>	<b>17,308</b>	<b>90,807</b>		<b>82,563</b>		<b>190,678</b>	<b>9.08%</b>	<b>47.62%</b>		<b>43.30%</b>	

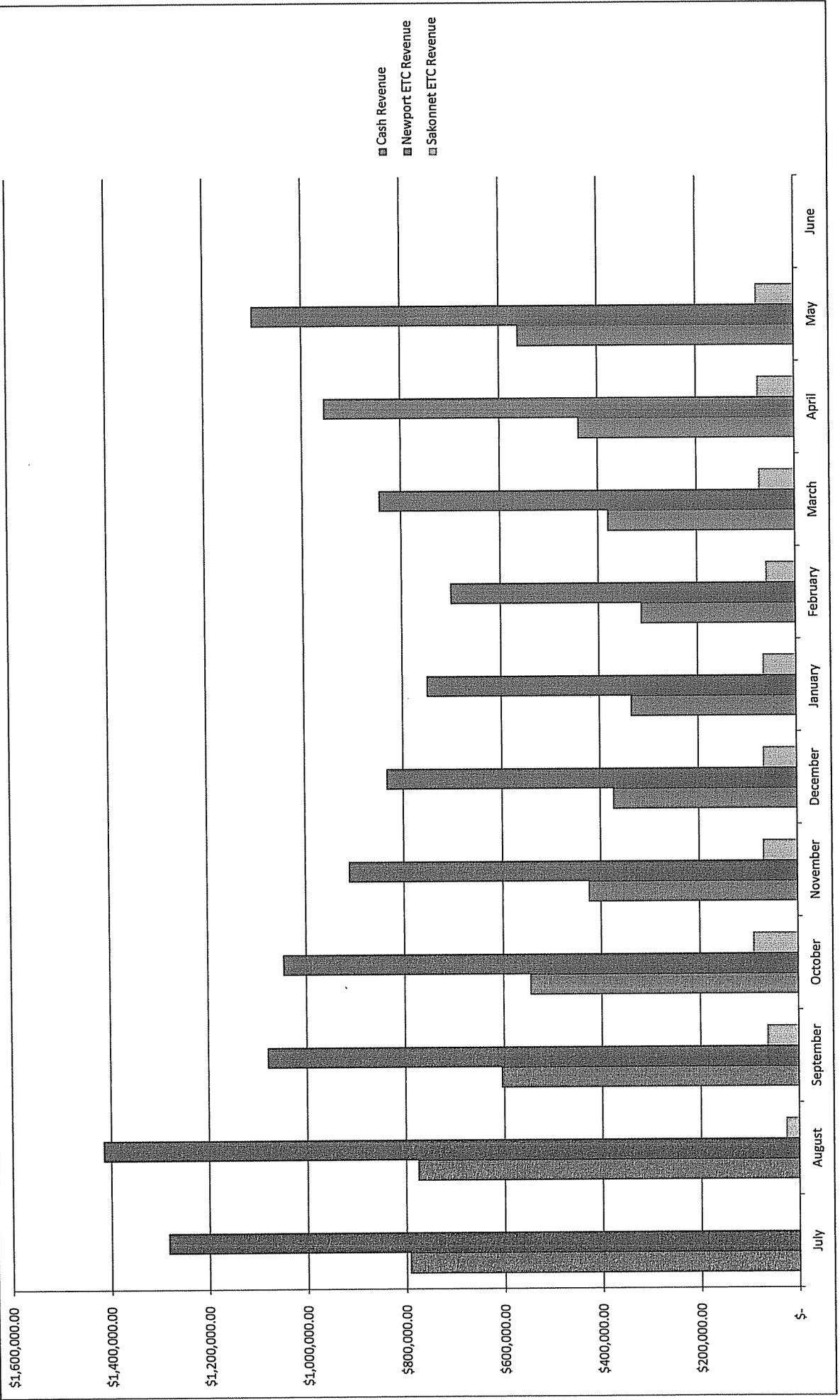
YTD Revenue Analysis

Month	Cash Revenue	NEWPORT BRIDGE		SAKONNET BRIDGE		TOTAL Revenue	%age breakdown to TOTAL traffic				
		RI ETC Revenue	OOS ETC Revenue	RI ETC Revenue	OOS ETC Revenue		% Cash	NEWPORT BRIDGE		SAKONNET BRIDGE	
								% RI ETC	% OOS ETC	% RI ETC	% OOS ETC
July	\$818,854	\$770,974	\$590,930	-	-	\$2,180,757	37.55%	35.35%	27.10%	-	-
August	\$802,044	\$671,335	\$800,891	\$23,299	\$5,887	\$2,303,456	34.82%	29.14%	34.77%	1.01%	0.26%
September	\$605,960	\$615,360	\$466,307	\$55,917	\$12,183	\$1,755,727	34.51%	35.05%	26.56%	3.18%	0.69%
October	\$546,180	\$681,819	\$395,815	\$67,250	\$15,063	\$1,706,128	32.01%	39.96%	23.20%	3.94%	0.88%
November	\$425,078	\$638,530	\$279,162	\$59,930	\$9,992	\$1,412,692	30.09%	45.20%	19.76%	4.24%	0.71%
December	\$373,787	\$600,562	\$254,940	\$58,426	\$11,087	\$1,298,802	28.78%	46.24%	19.63%	4.50%	0.85%
January	\$336,138	\$567,086	\$203,621	\$59,165	\$9,440	\$1,175,449	28.60%	48.24%	17.32%	5.03%	0.80%
February	\$313,904	\$526,355	\$190,652	\$52,437	\$9,145	\$1,092,494	28.73%	48.18%	17.45%	4.80%	0.84%
March	\$380,674	\$617,365	\$250,940	\$62,621	\$12,186	\$1,323,787	28.76%	46.64%	18.96%	4.73%	0.92%
April	\$440,402	\$666,231	\$324,742	\$60,882	\$13,792	\$1,506,049	29.24%	44.24%	21.56%	4.04%	0.92%
May	\$570,941	\$714,994	\$407,622	\$64,031	\$14,835	\$1,772,423	32.21%	40.34%	23.00%	3.61%	0.84%
June	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL Revenue</b>	<b>\$5,613,960</b>	<b>\$7,070,613</b>	<b>\$4,165,621</b>	<b>\$563,959</b>	<b>\$113,611</b>	<b>\$17,527,764</b>	<b>32.03%</b>	<b>40.34%</b>	<b>23.77%</b>	<b>0.00%</b>	<b>3.22%</b>
<b>COMMERCIAL</b>	<b>\$141,089</b>	<b>\$627,712</b>		<b>\$8,335</b>		<b>\$777,135</b>	<b>18.15%</b>	<b>80.77%</b>		<b>1.07%</b>	

Rhode Island Turnpike & Bridge Authority

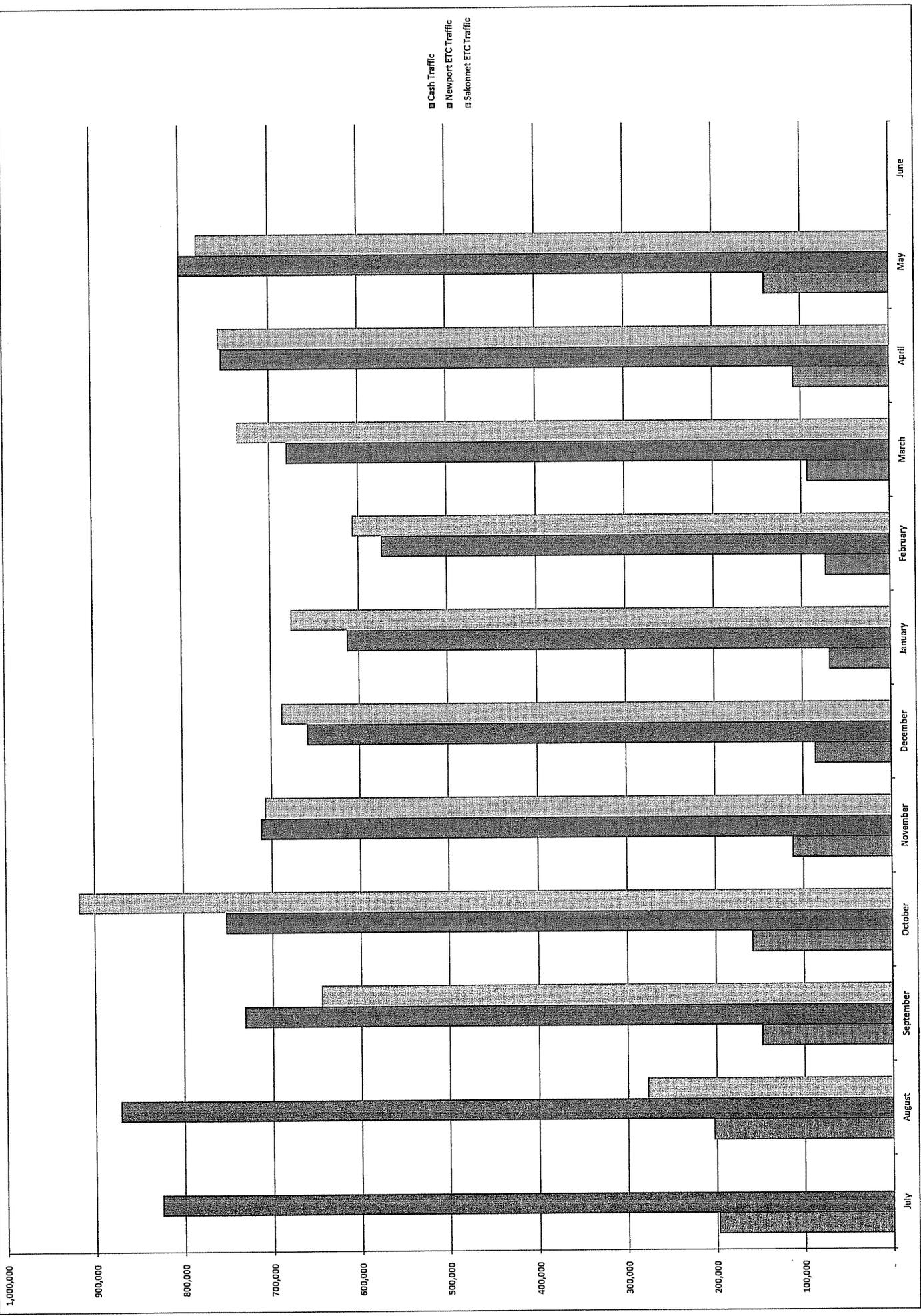
Revenue Summary  
July 2013 thru June 2014

FY2014 Cash Revenue	NPB					SRB					Total with Overweights		
	2 axle	3 axle	4 axle	5 axle	Violations	2 axle (other)	3 axle	4 axle	5 axle	UPT's		Admin Fees	
TOTAL	794,011.69	928,000.00	4,569.00	6,130.00	24,842.00	770,442.69	928,000.00	4,569.00	6,130.00	24,842.00	8,745.00	3,590.00	818,853.69
July	\$ 759,185.12	\$ 7,060.00	\$ 3,597.66	\$ 6,250.00	\$ 24,598.00	\$ 759,185.12	\$ 7,060.00	\$ 3,597.66	\$ 6,250.00	\$ 24,598.00	\$ 10,080.00	\$ 1,353.00	\$ 802,043.78
August	\$ 534,990.48	\$ 6,871.00	\$ 3,851.00	\$ 5,536.00	\$ 54,246.60	\$ 534,990.48	\$ 6,871.00	\$ 3,851.00	\$ 5,536.00	\$ 54,246.60	\$ 7,825.00	\$ 509.00	\$ 605,960.08
September	\$ 546,179.68	\$ 6,371.00	\$ 3,233.00	\$ 3,233.00	\$ 73,344.46	\$ 546,179.68	\$ 6,371.00	\$ 3,233.00	\$ 3,233.00	\$ 73,344.46	\$ 6,180.00	\$ 955.00	\$ 546,179.68
October	\$ 425,077.53	\$ 4,199.00	\$ 1,749.00	\$ 3,628.00	\$ 78,412.74	\$ 425,077.53	\$ 4,199.00	\$ 1,749.00	\$ 3,628.00	\$ 78,412.74	\$ 5,015.00	\$ 245.00	\$ 425,077.53
November	\$ 373,786.62	\$ 3,555.00	\$ 838.00	\$ 2,901.00	\$ 67,391.70	\$ 373,786.62	\$ 3,555.00	\$ 838.00	\$ 2,901.00	\$ 67,391.70	\$ 3,940.00	\$ 161.00	\$ 373,786.62
December	\$ 336,137.54	\$ 2,190.00	\$ 838.00	\$ 2,339.00	\$ 84,523.54	\$ 336,137.54	\$ 2,190.00	\$ 838.00	\$ 2,339.00	\$ 84,523.54	\$ 4,240.00	\$ 701.00	\$ 336,137.54
January	\$ 313,904.04	\$ 1,512.00	\$ 389.00	\$ 2,749.00	\$ 47,500.04	\$ 313,904.04	\$ 1,512.00	\$ 389.00	\$ 2,749.00	\$ 47,500.04	\$ 4,240.00	\$ 4,826.00	\$ 313,904.04
February	\$ 380,674.00	\$ 2,716.00	\$ 1,176.00	\$ 2,786.00	\$ 42,619.00	\$ 380,674.00	\$ 2,716.00	\$ 1,176.00	\$ 2,786.00	\$ 42,619.00	\$ 5,595.00	\$ 1,056.00	\$ 380,674.00
March	\$ 440,401.63	\$ 3,984.00	\$ 1,456.00	\$ 3,462.00	\$ 42,813.63	\$ 440,401.63	\$ 3,984.00	\$ 1,456.00	\$ 3,462.00	\$ 42,813.63	\$ 6,235.00	\$ 2,370.00	\$ 440,401.63
April	\$ 562,991.04	\$ 6,297.00	\$ 3,170.00	\$ 5,318.00	\$ 37,970.79	\$ 562,991.04	\$ 6,297.00	\$ 3,170.00	\$ 5,318.00	\$ 37,970.79	\$ 7,950.00	\$ 1,095.00	\$ 570,941.04
May	\$ 5,556,353.88	\$ 53,991.00	\$ 24,864.66	\$ 45,372.00	\$ 578,262.50	\$ 5,556,353.88	\$ 53,991.00	\$ 24,864.66	\$ 45,372.00	\$ 578,262.50	\$ 69,730.00	\$ -	\$ 5,613,959.63
June	\$ 4,886,658.47	\$ 53,991.00	\$ 24,864.66	\$ 45,372.00	\$ 578,262.50	\$ 4,886,658.47	\$ 53,991.00	\$ 24,864.66	\$ 45,372.00	\$ 578,262.50	\$ 69,730.00	\$ -	\$ 5,613,959.63
Cash Sub-total	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ -	\$ -	\$ 11,236,234.03
FY2014 ETC Revenue-NEWPORT	Total	1,283,958.55	37,178.20	19,121.33	36,825.96	1,283,958.55	37,178.20	19,121.33	36,825.96	1,283,958.55			1,361,903.57
July	\$ 1,415,725.56	\$ 30,123.51	\$ 17,160.27	\$ 30,700.49	\$ 1,415,725.56	\$ 30,123.51	\$ 17,160.27	\$ 30,700.49	\$ 30,700.49	\$ 1,415,725.56			\$ 1,472,226.26
August	\$ 1,080,485.80	\$ 25,840.70	\$ 15,972.48	\$ 27,646.49	\$ 1,080,485.80	\$ 25,840.70	\$ 15,972.48	\$ 27,646.49	\$ 27,646.49	\$ 1,080,485.80			\$ 1,081,666.69
September	\$ 1,047,458.10	\$ 29,150.56	\$ 17,264.36	\$ 30,135.81	\$ 1,047,458.10	\$ 29,150.56	\$ 17,264.36	\$ 30,135.81	\$ 30,135.81	\$ 1,047,458.10			\$ 1,077,634.28
October	\$ 911,798.86	\$ 861,483.40	\$ 10,716.14	\$ 25,130.11	\$ 911,798.86	\$ 861,483.40	\$ 10,716.14	\$ 25,130.11	\$ 25,130.11	\$ 911,798.86			\$ 917,692.31
November	\$ 834,280.78	\$ 17,761.09	\$ 8,354.22	\$ 22,899.81	\$ 834,280.78	\$ 17,761.09	\$ 8,354.22	\$ 22,899.81	\$ 22,899.81	\$ 834,280.78			\$ 855,501.72
December	\$ 751,368.55	\$ 12,693.91	\$ 5,964.60	\$ 21,714.15	\$ 751,368.55	\$ 12,693.91	\$ 5,964.60	\$ 21,714.15	\$ 21,714.15	\$ 751,368.55			\$ 770,706.24
January	\$ 701,492.15	\$ 11,116.96	\$ 4,151.62	\$ 16,892.45	\$ 701,492.15	\$ 11,116.96	\$ 4,151.62	\$ 16,892.45	\$ 16,892.45	\$ 701,492.15			\$ 717,007.73
February	\$ 845,167.09	\$ 13,883.91	\$ 4,885.90	\$ 13,973.77	\$ 845,167.09	\$ 13,883.91	\$ 4,885.90	\$ 13,973.77	\$ 13,973.77	\$ 845,167.09			\$ 866,305.80
March	\$ 955,309.23	\$ 19,539.32	\$ 9,400.10	\$ 17,127.96	\$ 955,309.23	\$ 19,539.32	\$ 9,400.10	\$ 17,127.96	\$ 17,127.96	\$ 955,309.23			\$ 990,973.26
April	\$ 1,101,317.63	\$ 24,421.44	\$ 12,040.46	\$ 17,561.09	\$ 1,101,317.63	\$ 24,421.44	\$ 12,040.46	\$ 17,561.09	\$ 17,561.09	\$ 1,101,317.63			\$ 1,122,616.17
May	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ -	\$ -	\$ 11,236,234.03
June	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ -	\$ -	\$ 11,236,234.03
Newport Sub-total	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ 10,928,362.30	\$ 242,072.26	\$ 125,031.48	\$ 260,608.09	\$ 11,236,234.03	\$ -	\$ -	\$ 11,236,234.03
FY2014 ETC Revenue-SAKONNET	Total	27,840.00	193.50	90.40	119.70	27,840.00	193.50	90.40	119.70	27,840.00			29,185.60
July	\$ 64,520.00	\$ 504.00	\$ 236.30	\$ 252.10	\$ 64,520.00	\$ 504.00	\$ 236.30	\$ 252.10	\$ 252.10	\$ 64,520.00			68,100.50
August	\$ 91,852.90	\$ 633.40	\$ 266.80	\$ 303.20	\$ 91,852.90	\$ 633.40	\$ 266.80	\$ 303.20	\$ 303.20	\$ 91,852.90			82,313.80
September	\$ 70,786.70	\$ 420.60	\$ 175.90	\$ 203.50	\$ 70,786.70	\$ 420.60	\$ 175.90	\$ 203.50	\$ 203.50	\$ 70,786.70			69,922.40
October	\$ 68,862.60	\$ 372.80	\$ 159.60	\$ 186.50	\$ 68,862.60	\$ 372.80	\$ 159.60	\$ 186.50	\$ 186.50	\$ 68,862.60			69,513.70
November	\$ 60,708.00	\$ 299.50	\$ 119.80	\$ 199.50	\$ 60,708.00	\$ 299.50	\$ 119.80	\$ 199.50	\$ 199.50	\$ 60,708.00			68,605.30
December	\$ 73,592.10	\$ 370.30	\$ 144.60	\$ 225.80	\$ 73,592.10	\$ 370.30	\$ 144.60	\$ 225.80	\$ 225.80	\$ 73,592.10			61,581.80
January	\$ 75,732.60	\$ 517.90	\$ 237.70	\$ 307.30	\$ 75,732.60	\$ 517.90	\$ 237.70	\$ 307.30	\$ 307.30	\$ 75,732.60			74,807.00
February	\$ 78,109.00	\$ 576.80	\$ 270.40	\$ 376.60	\$ 78,109.00	\$ 576.80	\$ 270.40	\$ 376.60	\$ 376.60	\$ 78,109.00			74,674.20
March	\$ 679,742.30	\$ 4,162.30	\$ 1,800.50	\$ 2,371.70	\$ 679,742.30	\$ 4,162.30	\$ 1,800.50	\$ 2,371.70	\$ 2,371.70	\$ 679,742.30			78,865.80
April	\$ 11,608,104.60	\$ 246,234.56	\$ 126,831.98	\$ 262,979.79	\$ 11,608,104.60	\$ 246,234.56	\$ 126,831.98	\$ 262,979.79	\$ 262,979.79	\$ 11,608,104.60			11,913,804.13
May	\$ 2,077,970.24	\$ 46,458.20	\$ 23,690.33	\$ 42,955.96	\$ 2,077,970.24	\$ 46,458.20	\$ 23,690.33	\$ 42,955.96	\$ 42,955.96	\$ 2,077,970.24	\$ 8,745.00	\$ 3,590.00	\$ 2,180,757.26
June	\$ 2,220,795.59	\$ 37,377.01	\$ 20,848.33	\$ 37,070.19	\$ 2,220,795.59	\$ 37,377.01	\$ 20,848.33	\$ 37,070.19	\$ 37,070.19	\$ 2,220,795.59	\$ 10,080.00	\$ 1,353.00	\$ 2,303,455.64
Sakonnet Sub-total	\$ 11,608,104.60	\$ 246,234.56	\$ 126,831.98	\$ 262,979.79	\$ 11,608,104.60	\$ 246,234.56	\$ 126,831.98	\$ 262,979.79	\$ 262,979.79	\$ 11,608,104.60	\$ -	\$ -	\$ 11,913,804.13
TOTAL ETC Rev (YTD)	\$ 2,077,970.24	\$ 46,458.20	\$ 23,690.33	\$ 42,955.96	\$ 2,077,970.24	\$ 46,458.20	\$ 23,690.33	\$ 42,955.96	\$ 42,955.96	\$ 2,077,970.24	\$ 8,745.00	\$ 3,590.00	\$ 2,180,757.26
July	\$ 2,220,795.59	\$ 37,377.01	\$ 20,848.33	\$ 37,070.19	\$ 2,220,795.59	\$ 37,377.01	\$ 20,848.33	\$ 37,070.19	\$ 37,070.19	\$ 2,220,795.59	\$ 10,080.00	\$ 1,353.00	\$ 2,303,455.64
August	\$ 1,750,965.88	\$ 1,614,305.60	\$ 20,059.78	\$ 33,434.59	\$ 1,750,965.88	\$ 1,614,305.60	\$ 20,059.78	\$ 33,434.59	\$ 33,434.59	\$ 1,750,965.88	\$ 7,825.00	\$ 509.00	\$ 1,755,727.27
September	\$ 1,685,490.68	\$ 1,540,197.17	\$ 20,764.16	\$ 34,712.01	\$ 1,685,490.68	\$ 1,540,197.17	\$ 20,764.16	\$ 34,712.01	\$ 34,712.01	\$ 1,685,490.68	\$ 6,180.00	\$ 955.00	\$ 1,706,127.76
October	\$ 1,407,663.09	\$ 1,267,449.59	\$ 12,641.04	\$ 28,961.61	\$ 1,407,663.09	\$ 1,267,449.59	\$ 12,641.04	\$ 28,961.61	\$ 28,961.61	\$ 1,407,663.09	\$ 5,015.00	\$ 245.00	\$ 1,412,692.24
November	\$ 1,276,950.00	\$ 1,174,221.32	\$ 9,351.82	\$ 25,987.31	\$ 1,276,950.00	\$ 1,174,221.32	\$ 9,351.82	\$ 25,987.31	\$ 25,987.31	\$ 1,276,950.00	\$ 3,940.00	\$ 161.00	\$ 1,298,802.04
December	\$ 1,155,244.49	\$ 1,043,868.08	\$ 6,920.40	\$ 24,252.65	\$ 1,155,244.49	\$ 1,043,868.08	\$ 6,920.40	\$ 24,252.65	\$ 24,252.65	\$ 1,155,244.49	\$ 4,240.00	\$ 701.00	\$ 1,175,449.08
January	\$ 1,076,104.19	\$ 1,002,786.50	\$ 4,639.62	\$ 19,838.95	\$ 1,076,104.19	\$ 1,002,786.50	\$ 4,639.62	\$ 19,838.95	\$ 19,838.95	\$ 1,076,104.19	\$ 4,240.00	\$ 4,826.00	\$ 1,092,493.57
February	\$ 1,299,433.19	\$ 1,239,949.52	\$ 6,206.50	\$ 16,985.57	\$ 1,299,433.19	\$ 1,239,949.52	\$ 6,206.50	\$ 16,985.57	\$ 16,985.57	\$ 1,299,433.19	\$ 5,595.00	\$ 1,056.00	\$ 1,323,786.80
March	\$ 1,471,443.46	\$ 1,404,833.18	\$ 24,041.22	\$ 20,897.26	\$ 1,471,443.46	\$ 1,404,833.18	\$ 24,041.22	\$ 20,897.26	\$ 20,897.26	\$ 1,471,443.46	\$ 6,235.00	\$ 2,370.00	\$ 1,506,049.09
April	\$ 1,742,417.67	\$ 1,655,375.43	\$ 15,480.86	\$ 23,255.69	\$ 1,742,417.67	\$ 1,655,375.43	\$ 15,480.86	\$ 23,255.69	\$ 23,255.69	\$ 1,742,417.67	\$ 7,950.00	\$ 1,095.00	\$ 1,772,423.01
May	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 308,351.79	\$ 17,164,458.48	\$ 69,730.00	\$ -	\$ 17,527,763.76
June	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 308,351.79	\$ 17,164,458.48	\$ 69,730.00	\$ -	\$ 17,527,763.76
Grand Totals	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 17,164,458.48	\$ 16,164,416.27	\$ 151,696.64	\$ 308,351.79	\$ 308,351.79	\$ 17,164,458.48	\$ 69,730.00	\$ -	\$ 17,527,763.76

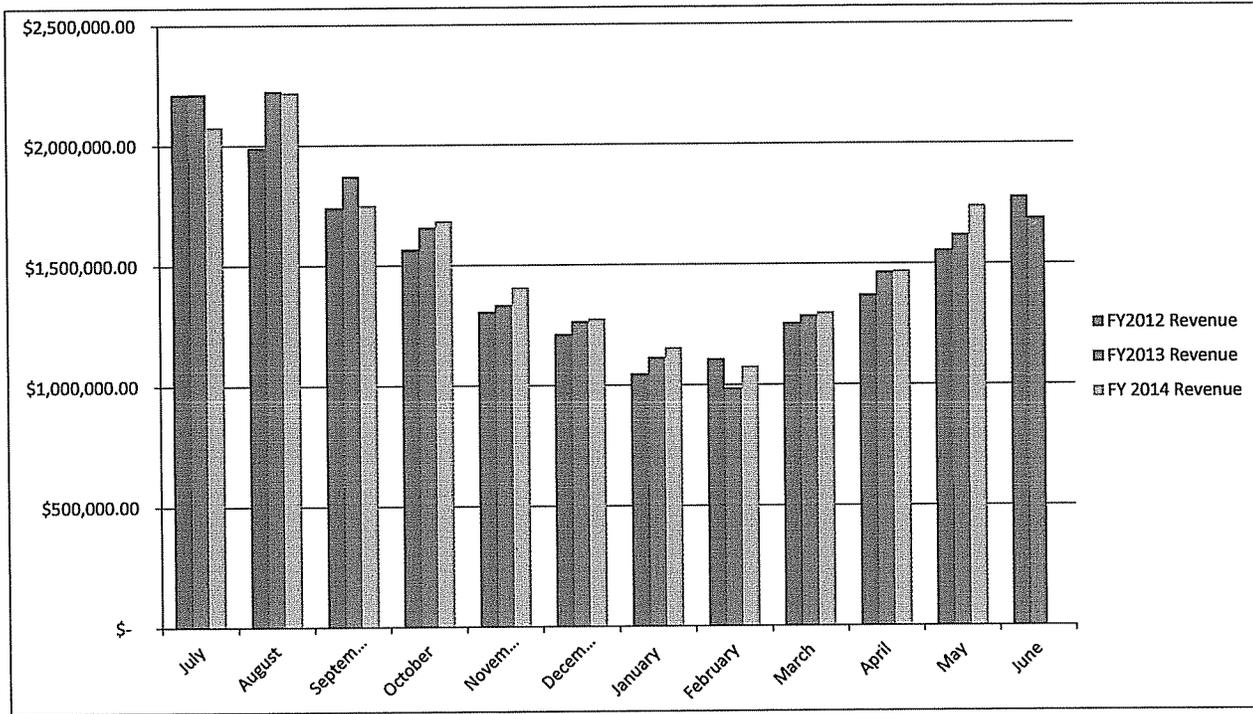


Rhode Island Turnpike & Bridge Authority  
Traffic Summary  
July 2013 thru June 2014

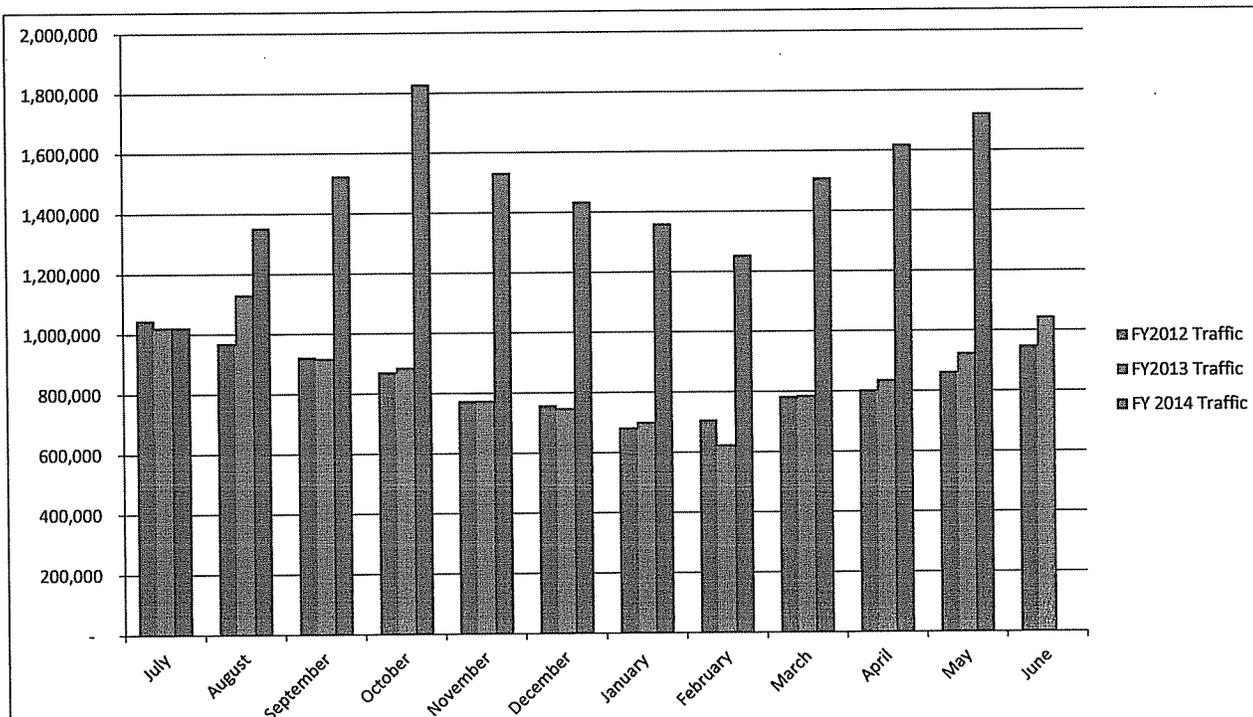
FY2014 Cash Traffic	Total					Unrecoverable (by plaza)		Overweights Paid w/ tickets & charges	Unpaid Tolls	Total with Overweights, UPT & Tickets
	2 axle	3 axle	4 axle	5 axle	Violations	Unrecoverable	Unrecoverable			
July	191,266	1,542	568	604	2,684	224	1,102	197,990		
August	188,275	1,174	445	625	11,639	84	996	203,238		
September	132,749	1,127	474	554	12,822	31	774	148,531		
October	113,655	1,060	395	423	42,614	58	607	158,812		
November	83,507	694	217	361	27,185	13	497	112,474		
December	74,186	591	102	289	11,035	7	390	86,600		
January	61,590	146	42	234	7,167	42	389	69,610		
February	63,593	252	47	272	8,961	318	420	73,863		
March	82,580	453	147	279	9,731	70	554	93,814		
April	96,579	664	182	346	10,456	159	620	109,006		
May	127,285	1,050	396	532	11,527	73	790	141,653		
June	1,215,265	8,753	3,015	4,519	155,821	-	1,079	1,395,591		
<b>Cash Sub-total</b>	<b>1,215,265</b>	<b>8,753</b>	<b>3,015</b>	<b>4,519</b>	<b>155,821</b>	<b>-</b>	<b>1,079</b>	<b>1,395,591</b>		
<b>FY2014 ETC Traffic- NEWPORT</b>	<b>Total</b>	<b>NPB</b>	<b>NPB</b>	<b>NPB</b>	<b>NPB</b>	<b>Unrecoverable (by plaza)</b>	<b>NPB</b>	<b>Total</b>		
July	826,071	836,744	6,288	3,721	2,586	2,350	2,350	851,689		
August	872,067	903,513	5,220	3,118	3,736	3,736	3,736	917,953		
September	752,363	762,844	4,387	2,786	2,837	2,837	2,837	774,981		
October	712,391	800,360	4,984	3,063	4,132	4,132	4,132	794,869		
November	613,345	686,244	3,429	2,576	2,564	2,564	2,564	706,258		
December	573,937	659,325	2,925	2,317	2,043	2,043	2,043	667,698		
January	680,605	616,104	2,110	2,196	2,596	2,596	2,596	623,790		
February	753,747	577,192	2,104	2,224	1,059	1,059	1,059	583,251		
March	800,875	685,652	2,677	2,498	982	982	982	692,823		
April	7,976,369	735,066	3,766	2,837	2,311	2,311	2,311	745,524		
May		799,008	4,659	2,932	1,589	1,589	1,589	810,222		
June		8,052,052	42,549	30,268	26,199	26,199	26,199	8,169,058		
<b>NPB Sub-total</b>	<b>7,976,369</b>	<b>8,052,052</b>	<b>42,549</b>	<b>30,268</b>	<b>26,199</b>	<b>26,199</b>	<b>-</b>	<b>8,169,058</b>		
<b>FY2014 ETC Traffic- SAKONNET</b>	<b>Total</b>	<b>SRB</b>	<b>SRB</b>	<b>SRB</b>	<b>SRB</b>	<b>Unrecoverable (by plaza)</b>	<b>SRB</b>	<b>Total</b>		
July	278,400	287,820	1,935	1,197	10,760	10,760	10,760	302,616		
August	645,200	671,081	5,040	2,363	926	926	926	681,931		
September	918,529	811,104	6,334	3,032	1,521	1,521	1,521	824,659		
October	688,626	707,867	4,206	2,095	147	147	147	699,371		
November	677,384	687,948	3,728	1,865	72	72	72	695,209		
December	607,085	679,865	2,995	1,195	1,231	1,231	1,231	686,484		
January	735,971	610,118	2,735	1,975	730	730	730	616,548		
February	757,326	740,663	3,703	2,258	814	814	814	748,884		
March	781,090	736,113	5,197	3,073	71,490	71,490	71,490	818,250		
April		776,420	5,768	3,766	87,030	87,030	87,030	875,688		
May		6,692,356	41,641	22,917	174,721	174,721	174,721	6,949,640		
June		14,744,408	84,190	53,185	200,920	200,920	200,920	15,118,698		
<b>SRB Sub-total</b>	<b>6,797,428</b>	<b>14,744,408</b>	<b>84,190</b>	<b>53,185</b>	<b>200,920</b>	<b>200,920</b>	<b>-</b>	<b>15,118,698</b>		
<b>TOTAL ETC TRAFFIC (year to date)</b>	<b>Total</b>	<b>SRB</b>	<b>SRB</b>	<b>SRB</b>	<b>SRB</b>	<b>Unrecoverable (by plaza)</b>	<b>SRB</b>	<b>Total w/ OW &amp; UPT &amp; tickets</b>		
July	1,024,061	1,028,010	7,890	4,325	2,684	2,684	224	1,049,679		
August	1,353,705	1,379,608	8,329	3,715	11,639	11,639	84	1,423,807		
September	1,525,278	1,566,674	10,554	4,964	12,822	12,822	31	1,605,443		
October	1,829,704	1,705,119	12,378	5,393	42,614	42,614	58	1,778,340		
November	1,532,732	1,470,975	8,329	3,421	27,185	27,185	13	1,518,103		
December	1,360,339	1,421,459	7,244	2,786	11,035	11,035	7	1,449,507		
January	1,254,885	1,357,559	5,091	1,709	3,827	3,827	42	1,379,884		
February	1,510,340	1,250,903	6,893	2,607	8,961	8,961	318	1,273,662		
March	1,620,079	1,508,895	9,627	4,103	9,731	9,731	70	1,535,521		
April	1,723,618	1,567,758	11,477	6,256	10,456	10,456	159	1,672,780		
May		1,702,713	11,477	7,230	11,527	11,527	73	1,827,563		
June		15,959,673	92,943	57,704	155,821	155,821	1,079	16,514,289		
<b>Grand Totals</b>	<b>16,169,388</b>	<b>15,959,673</b>	<b>92,943</b>	<b>57,704</b>	<b>155,821</b>	<b>155,821</b>	<b>1,079</b>	<b>16,514,289</b>		



**RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
REVENUE AND TRAFFIC COMPARISON FY2011 TO FY2013  
REVENUE**



**TRAFFIC**







RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY  
REVENUE BY SOURCE

